

Sub: IEX Membership Fee and Deposit Structure for Energy Savings Certificates (ESCCerts)

Pursuant to CERC approval of Bureau of Energy Efficiency's (BEE) procedures for transaction of ESCerts as per Clause 7(a) of CERC (Terms and Conditions for Dealing in Energy Savings Certificates) Regulations, 2016, details of applicable IEX Membership fees and deposit structure for trading in ESCerts are as given below:-

- 1) Existing members and clients active in Electricity and REC segment are eligible to trade in ESCert market at no extra cost.
- 2) As per existing categories of membership, ESCert membership is also available in 3 categories viz. Trader Member, Professional Member and Proprietary Member.

(Rs. in Lacs)

Particulars	ESCCert Member Fee & Deposit Structure
Admission Fee (One time)*	5
Interest Free Security Deposit (One time)	2.5
Processing Fee (One time)*	0.1
Membership Subscription Fees (Per Pat Cycle)*	1
IEX Transaction Fees (Rs/ESCCert)*	20
Client /Portfolio Subscription Fees (Per Pat Cycle)*	0.2
Minimum Net Worth**	50

*Service Tax as applicable

** Minimum Net Worth not applicable for Proprietary Member.

Terms & Conditions:

- ✓ Annual subscription fees for member & client/portfolio are valid for the respective PAT Cycle and associated compliance period.
- ✓ Admission fees and Annual Subscription fees are non-refundable.
- ✓ Interest Free Security Deposit is refundable after 3 (three) years on surrender of the membership from date of admission of member.
- ✓ Membership is transferable only after end of 3 (three) years from date of admission of member.
- ✓ The main objects of the company, who wish to trade on behalf of clients, should include the business of Energy and/or related products.
- ✓ Exclusive ESCert membership can be upgraded to Electricity/REC membership by paying the differential amount.

For and on Behalf of Indian Energy Exchange Limited

Sd/-

Rajesh Kumar Mediratta
Director (BD)

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