

**Application for Transfer of Membership on Member's Letter Head**

**Date:**

**To,**

Membership Department  
Plot No. C-001/A/1, 9th Floor,  
Max Towers, Sec - 16B, Noida,  
Gautam Buddha Nagar, Uttar Pradesh- 201301  
Phone +91 11 43004000  
Fax +91 11 43004015

Dear Sir,

**Sub: Application for obtaining approval from IEX for Transfer of Membership**

I/we \_\_\_\_\_ (Trade name of the existing member/Transferor) member of IEX, have completed \_\_\_\_\_ years as a member of Indian Energy Exchange Ltd (IEX). I/We now proposed to transfer our above stated membership to \_\_\_\_\_ (name of the proposed member/Transferee)

The relevant particulars of our membership and the proposed Member /Transferee are as under:

<b>Details of Transferor</b>	
Name	
Address	
Type of Organization/Constitution	<b>Individual/ Corporate/Partnership</b>
Date of approval of Membership	
Member Id	
Date of Board Resolution for transfer of membership( in case of Corporates)	
Whether cleared all dues to the Exchange including Annual Subscription	
Whether any investor grievance pending with Member/Exchange/ CERC.	<b>If yes, provide details in a separate annexure.</b>
Whether any arbitration is pending	<b>If yes, provide details in a separate annexure</b>
Whether any disciplinary action pending against under any Bye law/Rules of the Exchange	
Whether any Pending CERC Action	

Whether declared defaulters/readmitted by the Exchange	
Whether any Legal proceedings pending in any court or forum concerning membership and / or transactions undertaken by the member	
Whether any pending complaints from clients (If Applicable)	
Any other cases prosecution pending	
Details of Transferee	<b>(Enclosed Below)</b>

I/we state that the above stated details are true and correct and we understand that for any wrong statement I/we are liable for legal action.

Thanking You,

Yours faithfully,

For \_\_\_\_\_ (name of Transferor)

\_\_\_\_\_ (signature)

Name of the authorized signatory

Rubber Stamp

Place.....

**Encl:**

Details of Transferee	
1. Name of the applicant(full)	
2. Registered Office Address City: State: Pincode: Telephone No: Fax No: Email: Name of the contact person(s): Mobile Number:	
3. Nationality	
4. Constitution	Individual/ Registered Partnership Firm/Private Ltd Co./Public Ltd Co/
5. Date of Incorporation	
6. PAN Number	
7. Present Business/occupation	
8. Present Networth	Rs..... Lakh as on .....
9. Are you a member of any other Exchange Energy/ Commodity/Stock (Provide Details)	
10. Membership Type	

\_\_\_\_\_  
(Signature of the Transferee)

I/we undertake that any misstatement or suppression of facts in connection with this application for transfer of membership entail rejection of our application.

I/we hereby state that the above-mentioned particulars true, correct and complete to the best of my/our knowledge and information.

For \_\_\_\_\_ (Trade name of the Transferee)

\_\_\_\_\_  
(Rubber Stamp)

(Signature of the Transferee)

Name of the signatory

Date: \_\_\_\_\_

Place: \_\_\_\_\_

The above facts have been verified by us and found to be true and correct.

\_\_\_\_\_

(Signature of the Transferor)