



**Dated: October 10, 2019**

**The Manager  
BSE Limited  
Corporate Relationship Department  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai- 400001**

**The Manager  
National Stock Exchange of India Ltd  
Listing Department  
Exchange Plaza, 5<sup>th</sup> Floor, Plot no C/1  
G Block, Bandra Kurla Complex  
Bandra (E), Mumbai-400 051**

**Scrip Code: BSE- 540750; NSE- IEX**

**Sub: Submission of Certificate under Regulation 40(10) of SEBI (Listing Obligation & Disclosure Requirement) Regulation, 2015 for the half year ended September 30, 2019.**

Dear Sir / Madam,

Pursuant to Regulation 40(10) of SEBI (Listing Obligation & Disclosure Requirement) Regulation, 2015, we hereby submit the certificate from Practicing Company Secretary as required under Regulation 40 (9) of SEBI (Listing Obligation & Disclosure Requirement) Regulation, 2015, for the half year ended September 30, 2019.

You are requested to take the above information on record.

Thanking You

Yours faithfully,

**For Indian Energy Exchange Limited**



**Vineet Harlalka  
Company Secretary & Compliance Officer**



Encl: as above



## MNK AND ASSOCIATES LLP

Company Secretaries

G-41, Ground Floor, West Patel Nagar, New Delhi - 110008

Tel: +91-11-45095230; Mobile: +91-9818156340; Email: nazim@mnkassociates.com

The Board of Directors  
Indian Energy Exchange Limited  
Unit No. 3, 4, 5 & 6, Fourth Floor  
TDI Centre Plot No. 7, District Centre  
Jasola New Delhi 110025

**COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019**

We have examined the relevant Registers, papers and documents that were considered necessary of Indian Energy Exchange Limited, a company incorporated under the Companies Act, 1956, having registered office at Unit No. 3, 4, 5 & 6, Fourth Floor, TDI Centre Plot No. 7, District Centre, Jasola New Delhi 110025 produced by their Registrar and Share Transfer Agent (RTA) namely Karvy Fintech Private Limited (Formerly Known as KCPL Advisory Services Private Limited), having its registered office at Karvy Selenium Tower B" Plot No. 31 & 32, Financial District, Nanakramguda, Serilingampally, Gachibowli, Hyderabad-500032 Telangana India (the RTA) for the purpose of issuing the certificate under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 to be filed with the Stock Exchanges.

Based on our examination and explanations furnished to us and on the basis of the Certificate dated October 09, 2019, issued by the RTA, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that during the half year ended September 30, 2019, the Company has delivered all the certificates within the period stipulated in the Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 from the date of lodgement for transfer, transmission, consolidation, sub-division, renewal, duplicate issue of share certificate(s), exchange of certificates, etc.

For MNK and Associates LLP

Company Secretaries

FRN: L2018DE004900



Mohd Nazim Khan

Designated Partner

FCS-6529, CP-8245

UDIN: F006529A000043478

Date: 09.10.2019

Place: New Delhi