



**Dated: October 15, 2018**

**The Manager  
BSE Limited  
Corporate Relationship Department  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai- 400001**

**The Manager  
National Stock Exchange of India Ltd  
Listing Department  
Exchange Plaza, 5<sup>th</sup> Floor, Plot no C/1  
G Block, Bandra Kurla Complex  
Bandra (E), Mumbai-400 051**

**Scrip Code: BSE- 540750; NSE- IEX**

**Sub: Submission of Certificate under Regulation 40(10) of SEBI (Listing Obligation & Disclosure Requirement) Regulation, 2015 for the half year ended September 30, 2018.**

Dear Sir / Madam,

Pursuant to Regulation 40(10) of SEBI (Listing Obligation & Disclosure Requirement) Regulation, 2015, we hereby submit the certificate from Practicing Company Secretary as required under Regulation 40 (9) of SEBI (Listing Obligation & Disclosure Requirement) Regulation, 2015, for the half year ended September 30, 2018.

You are requested to take the above information on record.

Thanking You

Yours faithfully,

For **Indian Energy Exchange Limited**

**Vineet Harlalka  
Company Secretary & Compliance Officer**



Encl: as above



## *MNK & ASSOCIATES*

Company Secretaries

G-41, Ground Floor, West Patel Nagar, New Delhi - 110008

Tel: +91-11-45095230; Mobile: +91-9818156340; Email: nazim@mnkassociates.com

The Board of Directors  
Indian Energy Exchange Limited  
TDI Centre, Fourth Floor  
Plot No. 7, District Centre  
Jasola, New Delhi – 110025

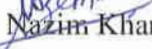
**COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI  
(LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS,  
2015 FOR THE HALF YEAR ENDED SEPTEMBER 30, 2018**

We have examined the relevant Registers, papers and documents that were considered necessary of M/s Indian Energy Exchange Limited, a company incorporated under the Companies Act, 1956, having registered office at TDI Centre, Fourth Floor Plot No. 7, District Centre Jasola, New Delhi – 110025 produced by their Registrar and Share Transfer Agent namely M/s Karvy Computershare Private Limited, having its registered office at 46, Avenue, 4th Street, No.1, Banjara Hills, Hyderabad 500034 India (the RTA) for the purpose of issuing the certificate under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 to be filed with the Stock Exchanges.

Based on our examination and explanations furnished to us and on the basis of the Certificate dated 10<sup>th</sup> October 2018 issued by the RTA, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that during the half year ended 30<sup>th</sup> September 2018, the Company has delivered all the certificates within the period stipulated in the Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 from the date of lodgement for transfer, transmission, consolidation, sub-division, renewal, duplicate issue of share certificate(s), exchange of certificates, etc.

For MNK & Associates  
Company Secretaries



  
(Mohd Nazim Khan)  
Proprietor  
FCS-6529, CP-8245

Date: 13.10.2018  
Place: New Delhi