

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L74999DL2007PLC277039

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCI6753N

(ii) (a) Name of the company

INDIAN ENERGY EXCHANGE LII

(b) Registered office address

Unit No. 3, 4, 5 & 6, Fourth Floor, TDI Centre
Plot No. 7, District Centre, Jasola
New Delhi
South Delhi
Delhi
110025

(c) *e-mail ID of the company

ixsecretarial@iexindia.com

(d) *Telephone number with STD code

01143004000

(e) Website

www.iexindia.com

(iii) Date of Incorporation

26/03/2007

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes

No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BOMBAY STOCK EXCHANGE LIMITED	1
2	NATIONAL STOCK EXCHANGE OF INDIA LIMITED	1,024

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KARVY FINTECH PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Karvy Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date 01/04/2018 (DD/MM/YYYY) To date 31/03/2019 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM 18/09/2019

(b) Due date of AGM 30/09/2019

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	402,500,000	303,286,240	303,286,240	303,286,240
Total amount of equity shares (in Rupees)	402,500,000	303,286,240	303,286,240	303,286,240

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	402,500,000	303,286,240	303,286,240	303,286,240
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	402,500,000	303,286,240	303,286,240	303,286,240

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	30,328,624	303,286,240	303,286,240	

Increase during the year	272,957,616	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify	272,957,616	0	0	
Sub-Division (Stock Split from Face Value Rs.10 to Re.1)				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	303,286,240	303,286,240	303,286,240	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

1

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares	30,328,624		
	Face value per share	10		
After split / Consolidation	Number of shares	303,286,240		
	Face value per share	1		

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	18/09/2018		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee	<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

2,540,767,888

(ii) Net worth of the Company

3,702,691,183

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	0	0	0	0

Total number of shareholders (promoters)

0

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	17,379,796	5.73	0	
	(ii) Non-resident Indian (NRI)	589,558	0.19	0	
	(iii) Foreign national (other than NRI)	135,911	0.04	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	12,500,000	4.12	0	
3.	Insurance companies	0	0	0	
4.	Banks	1,885	0	0	
5.	Financial institutions	2,050	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	18,007,120	5.94	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	169,790,284	55.98	0	
10.	Others AIF, MGT4,CM,ET,FPC,NBFC	84,879,636	27.99	0	
	Total	303,286,240	99.99	0	0

Total number of shareholders (other than promoters)

72,020

**Total number of shareholders (Promoters+Public/
Other than promoters)**

72,020

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	0	0
Members (other than promoters)	115,471	72,020
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	7	1	7	0.08	0
(i) Non-Independent	1	4	1	4	0.08	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	1	0	1	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	1	0	1	0	0
Total	1	8	1	8	0.08	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 11

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Dinesh Kumar Mehrotra	00142711	Director	0	22/05/2019
Satyanarayan Goel	02294069	Managing Director	253,786	
Kayyalathu Thomas Ch	02446168	Director	0	
Renuka Ramnath	00147182	Director	0	18/09/2019
Gautam Dalmia	00009758	Additional director	0	
Tejpreet Singh Chopra	00317683	Additional director	0	
Gopal Srinivasan	00177699	Director	0	
Mahendra Singhi	00243835	Director	0	
Ajeet Kumar Agarwal	02231613	Nominee director	0	
Vineet Harlalka	AANPH7927G	CFO	0	
Vineet Harlalka	AANPH7927G	Company Secretar	199,000	

(ii) Particulars of change in director(s) and Key managerial personnel during the year 4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Bejul Somaia	00059201	Director	17/07/2018	Cessation
Gautam Dalmia	00009758	Additional director	20/12/2018	Appointment
Vallabh Roopchand Bha	00184775	Director	08/01/2019	Cessation
Tejpreet Singh Chopra	00317683	Additional director	05/03/2019	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	18/09/2018	81,673	106	9.49

B. BOARD MEETINGS

*Number of meetings held

7

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/04/2018	9	8	88.89
2	09/08/2018	8	6	75
3	18/09/2018	8	5	62.5
4	09/11/2018	8	8	100
5	20/12/2018	9	8	88.89
6	10/01/2019	8	6	75
7	08/02/2019	8	4	50

C. COMMITTEE MEETINGS

Number of meetings held

15

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	26/04/2018	3	2	66.67
2	Audit Committee	09/08/2018	3	3	100
3	Audit Committee	09/11/2018	3	3	100
4	Audit Committee	08/02/2019	3	2	66.67
5	Nomination and Remuneration	26/04/2018	4	3	75
6	Nomination and Remuneration	26/10/2018	4	3	75
7	Nomination and Remuneration	16/11/2018	4	4	100
8	Nomination and Remuneration	20/12/2018	4	4	100
9	Nomination and Remuneration	10/01/2019	4	3	75
10	Nomination and Remuneration	15/03/2019	4	3	75

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	18/09/2019
								(Y/N/NA)
1	Dinesh Kumar	7	6	85.71	10	8	80	Not Applicable
2	Satyanarayan	7	7	100	5	5	100	Yes
3	Kayyalathu Th	7	7	100	15	14	93.33	Yes
4	Renuka Ramn	7	3	42.86	11	5	45.45	No
5	Gautam Dalmi	3	3	100	0	0	0	No
6	Tejpreet Singh	0	0	0	0	0	0	Yes
7	Gopal Srinivas	7	6	85.71	0	0	0	No
8	Mahendra Sin	7	6	85.71	9	7	77.78	No
9	Ajeet Kumar A	7	3	42.86	0	0	0	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Satyanarayan Goel	Managing Director	15,670,524	0	0	6,206,400	21,876,924
	Total		15,670,524	0	0	6,206,400	21,876,924

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Vineet Harlalka	CFO & Company Secretary	6,377,859	0	0	2,499,534	8,877,393
	Total		6,377,859	0	0	2,499,534	8,877,393

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Dinesh Kumar Mehra	Director	0	0	0	1,500,000	1,500,000
2	Kayyalathu Thomas	Director	0	0	0	1,710,000	1,710,000
3	Vallabh Roopchand	Director	0	0	0	270,000	270,000
4	Ajeet Kumar Agarwal	Nominee Director	0	0	0	270,000	270,000
	Total		0	0	0	3,750,000	3,750,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Mohd Nazim Khan

Whether associate or fellow

Associate Fellow

Certificate of practice number

8245

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

14

dated

29/03/2010

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director



DIN of the director

03568897

To be digitally signed by



Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

List of FPC shareholders as on 31_03_201 Form MGT-8_IEX.pdf List of Committee Meetings.pdf Clarification letter.pdf
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Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

FORM NO. MGT – 9 EXTRACT OF ANNUAL RETURN

As on the financial year ended March 31, 2019

[Pursuant to Section 92(3) of the Companies Act, 2013, and Rule 12(1) of the Companies
(Management and Administration) Rules, 2014]

I. Registration and Other Details:

Corporate Identity Number (CIN)	L74999DL2007PLC277039
Registration Date	March 26, 2007
Name of the Company	Indian Energy Exchange Limited
Category / Sub-Category of the Company	Listed Company/Company Limited by Shares/Indian Non-Government Company
Address of the Registered Office and contact details	Unit No. 3-6, Fourth Floor, Plot No. 7, TDI Centre, District Centre, Jasola, New Delhi – 110025, India Tel. No. +91-11-4300 4000; Fax: +91-11-4300 4015 Email id – ixsecretarial@iexindia.com
Whether listed company	Yes
Name, Address and Contact details of Registrar and Transfer Agent, if any	KARVY FINTECH PRIVATE LIMITED (Formerly, KCPL Advisory Services Private Limited) Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Serilingampally Hyderabad- 500 032, India. Ph: 040-67162222, Fax: 040-23001153 Toll Free no: 1800-345-4001 Email: einward.ris@karvy.com

II. Principal Business Activities of the Company:

All business activities contributing 10% or more of the total turnover of the company shall be stated:

Sl. No.	Name and Description of main products/ services	NIC Code of the Product/ service	% to total turnover of the Company
1.	Power Exchange	66110	100%

III. Particulars of Holding, Subsidiary and Associate Companies:

Sl. No.	Name and Address of the Company	CIN/GLN	Holding/Subsidiary/ Associate	% of Shares held	Applicable Section
			Nil		

IV. Shareholding Pattern (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding: Between March 31, 2018 and March 31, 2019

Category Code	Category of Shareholder	No. of Shares Held at the Beginning of the year				No. of Shares Held at the end of the year				% Change during the year*
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)
(A)	Promoter and Promoter Group									
(1)	Indian									
(a)	Individual /HUF	-	-	-	-	-	-	-	-	-
(b)	Central Government/State Government (s)	-	-	-	-	-	-	-	-	-
(c)	Bodies Corporate	-	-	-	-	-	-	-	-	-
(d)	Financial Institutions / Banks	-	-	-	-	-	-	-	-	-
(e)	Others	-	-	-	-	-	-	-	-	-
	Sub-Total A(1) :	-	-	-	-	-	-	-	-	-
(2)	Foreign									
(a)	Individuals (NRIs/ Foreign Individuals)	-	-	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-	-	-
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-	-	-
(e)	Others	-	-	-	-	-	-	-	-	-
	Sub-Total A(2) :	-	-	-	-	-	-	-	-	-
	Total A=A(1)+A(2)	-	-	-	-	-	-	-	-	-
(B)	Public Shareholding									
(1)	Institutions									
(a)	Mutual Funds /UTI	1,687,913	-	1,687,913	5.57	18,007,120	-	18,007,120	5.94	0.37
(b)	Financial Institutions /Banks	2,874	-	2,874	0.01	3,935	-	3,935	0.00	(0.01)
(c)	Central Government / State Government(s)	-	-	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-	-	-	-
(f)	Foreign Institutional Investors	2,218,868	-	2,218,868	7.32	53,395,104	-	53,395,104	17.61	10.29
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-
(h)	Qualified Foreign Investor	-	-	-	-	-	-	-	-	-
(i)	Alternative Investment Fund	1,998,184	-	1,998,184	6.59	20,952,160	-	20,952,160	6.91	0.32
	Sub-Total B(1) :	5,907,839	-	5,907,839	19.48	92,358,319	-	92,358,319	30.45	10.97
(2)	Non-Institutions									
(a)	Bodies Corporate	13,293,286	-	13,293,286	43.83	115,267,232	-	115,267,232	38.01	(5.82)
(b)	Individuals									
	(i) Individuals holding nominal share capital upto ₹ 1 lakh	2,253,855	38,270	2,292,125	7.56	13,271,617	59,510	13,331,127	4.40	(3.16)
	(ii) Individuals holding nominal share capital in excess of ₹ 1 lakh	188,501	-	188,501	0.62	3,748,669	300,000	4,048,669	1.33	0.71

i) Category-wise Share Holding: Between 31/03/2018 AND 31/03/2019

Category Code	Category of Shareholder	No. of Shares Held at the Beginning of the year				No. of Shares Held at the end of the year				% Change during the year*
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(c)	Others									
	Clearing Members	22,269	-	22,269	0.07	940,373	-	940,373	0.31	0.24
	Employee Trust	-	168,632	168,632	0.56	1,367,220	-	1,367,220	0.45	(0.11)
	Foreign Bodies	6,946,343	-	6,946,343	22.90	67,023,052	-	67,023,052	22.10	(0.80)
	Beneficial Holdings Under Mgt-4	253,777	-	253,777	0.84	2,536,190	-	2,536,190	0.84	(0.00)
	Nbfc	23,138	-	23,138	0.08	220,670	-	220,670	0.07	(0.00)
	Non Resident Indians	67,225	-	67,225	0.22	589,558	-	589,558	0.19	(0.03)
	Nri Non-Repatriation	12,739	-	12,739	0.04	135,911	-	135,911	0.04	0.00
	Trusts	1,152,750	-	1,152,750	3.80	5,467,919	-	5,467,919	1.80	(2.00)
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-	-	-
	Sub-Total B(2) :	24,213,883	206,902	24,420,785	80.52	210,568,411	359,510	210,927,921	69.55	(10.97)
	Total B=B(1)+B(2):	30,121,722	206,902	30,328,624	100.00	302,926,730	359,510	303,286,240	100.00	-
	Total (A+B):	30,121,722	206,902	30,328,624	100.00	302,926,730	359,510	303,286,240	100.00	-
(C)	Shares held by custodians, against which									
	Depository Receipts have been issued									
(1)	Promoter and Promoter Group									
(2)	Public									
	GRAND TOTAL (A+B+C) :	30,121,722	206,902	30,328,624	100.00	302,926,730	359,510	303,286,240	100.00	

*The percentage of changes are including the addition of shares due to Sub-Division

ii) Shareholding of Promoters

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	
								Not Applicable

iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	At the beginning of the year				
2	Date wise Increase/Decrease in Promoters Shareholding during the year:				
3	At the end of the year				

Not Applicable

iv) Shareholding Pattern of Top Ten Shareholders (other than Directors, promoters and Holders of GDRs and ADRs):

Sl. No.	Name of Shareholder	Date	Reason	Shareholding at the beginning of the Year		Increase/ (Decrease) in Number of Shares	Cumulative Shareholding at the end of the Year	
				No. of Shares	% of total shares of the Company		No. of Shares	% of total shares of the Company
1	Dalmia Power Limited	at the beginning of the Year 01-Apr-2018		-	-			
		08/03/2019	Transfer*			30,328,630	30,328,630	10.00
		at the end of the Year 31-Mar-2019					30,328,630	10.00
2	Smallcap World Fund, INC	at the beginning of the Year 01-Apr-2018		62,516	0.21		62,516	0.21
		08/06/2018	Transfer			169,701	232,217	0.77
		06/07/2018	Transfer			260,341	492,558	1.62
		03/08/2018	Transfer			173	492,731	1.62
		10/08/2018	Transfer			225,559	718,290	2.37
		05/10/2018	Transfer			277,051	995,341	3.28
		12/10/2018	Transfer			185	995,526	3.28
		26/10/2018	Transfer (Sub-division)			8,987,898	9,983,424	3.29
		02/11/2018	Transfer			2,359,966	12,343,390	4.07
		30/11/2018	Transfer			1,383,081	13,726,471	4.53
		18/01/2019	Transfer			570,030	14,296,501	4.71
		01/02/2019	Transfer			54,600	14,351,101	4.73
		08/02/2019	Transfer			117,176	14,468,277	4.77
		15/02/2019	Transfer			265,178	14,733,455	4.86
		08/03/2019	Transfer			51,195	14,784,650	4.87
		15/03/2019	Transfer			878,521	15,663,171	5.16
22/03/2019	Transfer			(559,516)	15,103,655	4.98		
		at the end of the Year 31-Mar-2019					15,103,655	4.98
3	TVS Shriram Growth Fund 1B LLP	at the beginning of the Year 01-Apr-2018		4,549,293	15.00		4,549,293	15.00
		26/10/2018	Transfer (Sub-division)			40,943,637	45,492,930	15.00
		at the end of the Year 31-Mar-2019						45,492,930
4	DCB Power Ventures Limited	at the beginning of the Year 01-Apr-2018		3,032,863	10.00		3,032,863	10.00
		26/10/2018	Transfer (Sub-division)			27,295,767	30,328,630	10.00
		08/03/2019	Transfer*			(30,328,630)	-	-
		at the end of the Year 31-Mar-2019						-
5	Agri Power and Engineering Solutions Private Limited	at the beginning of the Year 01-Apr-2018		1,513,398	4.99		1,513,398	4.99
		26/10/2018	Transfer (Sub-division)			13,620,582	15,133,980	4.99
		at the end of the Year 31-Mar-2019						15,133,980
6	Westbridge Crossover Fund, LLC	at the beginning of the Year 01-Apr-2018		1,440,609	4.75		1,440,609	4.75
		26/10/2018	Transfer (Sub-division)			12,965,481	14,406,090	4.75
		01/03/2019	Transfer			(90,088)	14,316,002	4.72
		at the end of the Year 31-Mar-2019						14,316,002

Sl. No.	Name of Shareholder	Date	Reason	Shareholding at the beginning of the Year		Increase/ (Decrease) in Number of Shares	Cumulative Shareholding at the end of the Year	
				No. of Shares	% of total shares of the Company		No. of Shares	% of total shares of the Company
7	Rimco (Mauritius) Limited	at the beginning of the Year		1,363,575	4.50		1,363,575	4.50
		01-Apr-2018						
		26/10/2018	Transfer (Sub-division)			12,272,175	13,635,750	4.50
		at the end of the Year					13,635,750	4.50
		31-Mar-2019						
8	Adani Tradex LLP	at the beginning of the Year		1,250,000	4.12		1,250,000	4.12
		01-Apr-2018						
		26/10/2018	Transfer (Sub-division)			8,250,000	9,500,000	3.13
		02/11/2018	Transfer			(2,316,811)	7,183,189	2.37
		11/01/2019	Transfer			(853,672)	6,329,517	2.09
		18/01/2019	Transfer			(800,000)	5,529,517	1.82
		15/02/2019	Transfer			(601,761)	4,927,756	1.62
		22/02/2019	Transfer			(1,000,000)	3,927,756	1.30
		15/03/2019	Transfer			(3,927,756)	-	-
				at the end of the Year				
		31-Mar-2019						
9	Rural Electrification Corporation Ltd	at the beginning of the Year		1,250,000	4.12		1,250,000	4.12
		01-Apr-2018						
		26/10/2018	Transfer (Sub-division)			11,250,000	12,500,000	4.12
		at the end of the Year					12,500,000	4.12
		31-Mar-2019						
10	Siguler Guff NJDM Investment Holdings Ltd	at the beginning of the Year		916,485	3.02		916,485	3.02
		01-Apr-2018						
		26/10/2018	Transfer (Sub-division)			8,248,365	9,164,850	3.02
		at the end of the Year					9,164,850	3.02
		31-Mar-2019						
11	Lightspeed Venture Partners VIII Mauritius	at the beginning of the Year		909,859	3.00		909,859	3.00
		01-Apr-2018						
		26/10/2018	Transfer (Sub-division)			8,188,731	9,098,590	3.00
		at the end of the Year					9,098,590	3.00
		31-Mar-2019						
12	SBI Magnum Taxgain Scheme	at the beginning of the Year		814,892	2.69		814,892	2.69
		01-Apr-2018						
		06/04/2018	Transfer			77	814,969	2.69
		04/05/2018	Transfer			55,000	869,969	2.87
		04/05/2018	Transfer			(47,000)	822,969	2.71
		25/05/2018	Transfer			(10,740)	812,229	2.68
		01/06/2018	Transfer			(37,327)	774,902	2.56
		08/06/2018	Transfer			(40,448)	734,454	2.42
		15/06/2018	Transfer			(264,565)	469,889	1.55
		29/06/2018	Transfer			(80,655)	389,234	1.28
		10/08/2018	Transfer			(150,000)	239,234	0.79
		12/10/2018	Transfer			6,740	245,974	0.81
		19/10/2018	Transfer			1,452	247,426	0.82
		26/10/2018	Transfer (Sub-division)			2,927,408	3,174,834	1.05
		02/11/2018	Transfer			1,639,426	4,814,260	1.59
		16/11/2018	Transfer			276,000	5,090,260	1.68
		14/12/2018	Transfer			75,337	5,165,597	1.70
		28/12/2018	Transfer			85,000	5,250,597	1.73
		18/01/2019	Transfer			2,487,921	7,738,518	2.55
		01/02/2019	Transfer			31,779	7,770,297	2.56
08/02/2019	Transfer			42,773	7,813,070	2.58		
22/02/2019	Transfer			1,007,390	8,820,460	2.91		
22/02/2019	Transfer			(64,759)	8,755,701	2.89		
01/03/2019	Transfer			(45,241)	8,710,460	2.87		
29/03/2019	Transfer			(46,409)	8,664,051	2.86		
		at the end of the Year					8,664,051	2.86
		31-Mar-2019						

* Transferred by "DCB Power Ventures Limited" to "Dalmia Power Limited" under the scheme of amalgamation.

v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.	Name of Directors	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
Mr. Satyanarayan Goel, Managing Director & CEO					
1	At the beginning of the year	33,750	0.11	33,750	0.11
2	Date wise Increase/Decrease in shareholding during the year:				
	Transfer on 02-Apr-2018	(3,000)	(0.01)	30,750	0.10
	Transfer on 03-Apr-2018	(802)	-	29,948	0.10
	Transfer on 04-Apr-2018	(298)	-	29,650	0.10
	Transfer on 06-Apr-2018	(1,500)	-	28,150	0.09
	Transfer on 09-Apr-2018	(2,000)	(0.01)	26,150	0.09
	Transfer on 10-Apr-2018	(500)	-	25,650	0.08
	Transfer on 16-Apr-2018	(1,400)	-	24,250	0.08
	Transfer on 08-May-2018	(600)	-	23,650	0.08
	Transfer on 09-May-2018	(950)	-	22,700	0.07
	Transfer on 10-May-2018	(660)	-	22,040	0.07
	Transfer on 15-May-2018	(280)	-	21,760	0.07
	Transfer on 16-May-2018	(530)	-	21,230	0.07
	Transfer on 17-May-2018	(840)	-	20,390	0.07
	Transfer on 22-May-2018	(839)	-	19,551	0.06
	Transfer on 25-May-2018	(99)	-	19,452	0.06
	Transfer on 28-May-2018	(37)	-	19,415	0.06
	Transfer on 12-Jun-2018	(885)	-	18,530	0.06
	Transfer on 13-Jun-2018	(1,500)	-	17,030	0.06
	Transfer (Sub-Division) 26-Oct-2018	153,270	0.05	170,300	0.06
	ESOP Acquisition on 14-Dec-2018	112,500	0.04	282,800	0.09
	Transfer on 09-Jan-2019	(3,500)	-	279,300	0.09
	Transfer on 10-Jan-2019	(3,000)	-	276,300	0.09
	Transfer on 15-Jan-2019	(5,000)	-	271,300	0.09
	Transfer on 19-Feb-2019	(1,000)	-	270,300	0.09
	Transfer on 13-Mar-2019	(1,000)	-	269,300	0.09
	Transfer on 13-Mar-2019	(1,512)	-	267,788	0.09
	Transfer on 14-Mar-2019	(2,002)	-	265,786	0.09
	Transfer on 14-Mar-2019	(4,000)	-	261,786	0.09
	Transfer on 14-Mar-2019	(2,000)	-	259,786	0.09
	Transfer on 14-Mar-2019	(4,000)	-	255,786	0.08
	Transfer on 20-Mar-2019	(2,000)	-	253,786	0.08
3	At the end of the year			253,786	0.08
Mr. Vineet Harlalka, CFO , Company Secretary & Compliance Officer					
1	At the beginning of the year	20,000	0.07	20,000	0.07
2	Date wise Increase/Decrease in shareholding during the year):				
	Transfer (Sub-Division) 26-Oct-2018	180,000	0.06	200,000	0.07
	Transfer on 12-Feb-2019	(1,000)	-	199,000	0.07
3	At the end of the year	-	-	199,000	0.07

V. Indebtedness

Indebtedness of the Company including interest outstanding/ accrued but not due for payment

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	Nil	Nil	Nil	Nil
ii) Interest due but not paid	Nil	Nil	Nil	Nil
iii) Interest accrued but not due	Nil	Nil	Nil	Nil
Total (i+ ii+ iii)	Nil	Nil	Nil	Nil
Change in Indebtedness during the financial year				
Addition	Nil	Nil	Nil	Nil
Reduction	Nil	Nil	Nil	Nil
Net Change	Nil	Nil	Nil	Nil
Indebtedness at the end of the financial year				
i) Principal Amount	Nil	Nil	Nil	Nil
ii) Interest due but not paid	Nil	Nil	Nil	Nil
iii) Interest accrued but not due	Nil	Nil	Nil	Nil
Total (i+ ii+ iii)	Nil	Nil	Nil	Nil

VI. Remuneration of Directors and Key Managerial Personnel

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(₹ in lakh)

Sl. No.	Particulars of Remuneration	Mr. Satyanarayan Goel Managing Director & CEO	Total Amount*
1	Gross Salary		
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	156.71	156.71
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	Nil	Nil
2	Stock Option	Nil	Nil
3	Sweat Equity	Nil	Nil
4	Commission		
	- as % of profit	Nil	Nil
	- others, specify	Nil	Nil
5	others		
	Company contribution towards PF	8.06	8.06
	Reimbursement of expenses	Nil	Nil
	Variable Pay & Bonus	54.00	54.00
	Leave encashment	Nil	Nil
6	Total (A)	218.77	218.77
7	Ceiling as per the Act	1,072	1,072

*This excludes gratuity

B. Remuneration to other directors:**1. Independent Directors:**

(₹ in lakh)

Sl. No.	Particulars of Remuneration	Name of Director's			Total Amount
		Mr. Dinesh Kumar Mehrotra [#]	Mr. Vallabh Roopchand Bhanshali [*]	Prof. Kayyalathu Thomas Chacko	
(a)	Fee for attending board / committee meetings	15.00	2.70	17.10	34.80
(b)	Commission	Nil	Nil	Nil	Nil
(c)	Others, please specify	Nil	Nil	Nil	Nil
	Total (1)	15.00	2.70	17.10	34.80

[#]resigned from the Board of Directors w.e.f January 08, 2019^{*}resigned from the Board of Directors w.e.f May 22, 2019**2. Other Non-Executive Directors:**

(₹ in lakh)

Sl. No.	Particulars of Remuneration	Mr. Ajeet Kumar Agarwal [*]	Total Amount
(a)	Fee for attending board /committee meetings (excluding service tax)	2.70	2.70
(b)	Commission	Nil	Nil
(c)	Others, please specify	Nil	Nil
	Total (2)	2.70	2.70
	Total (B)=(1+2)	37.50	37.50
	Total Managerial Remuneration	N.A	N.A
	Overall Ceiling as per the Act	N.A	N.A

^{*}Mr. Ajeet Kumar Agarwal being a Nominee Director of REC Limited, Sitting fees was paid to REC Limited.**C. Remuneration to Key Managerial Personnel other than MD/ Manager/ WTD**

(₹ in lakh)

Sl. No.	Particulars of Remuneration	Mr. Vineet Harlalka CFO ,Company Secretary & Compliance Officer	Total Amount [*]
1	Gross Salary		
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	63.78	63.78
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	Nil	Nil
2	Stock Option	Nil	Nil
3	Sweat Equity	Nil	Nil
4	Commission		
	- as % of profit	Nil	Nil
	- others, specify	Nil	Nil
5	Others		
	Company contribution towards PF	3.33	3.33
	Reimbursement of expenses	Nil	Nil
	Variable Pay & Bonus	21.66	21.66
	Leave encashment	Nil	Nil
6	Total (C)	88.77	88.77
7	Ceiling as per the Act	N.A	N.A

^{*}This excludes gratuity

VII. Penalties/ Punishment/ Compounding of Offences :

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any
A. Company					
Penalty					
Punishment			No Penalties, Punishments or Compounding of Offences		
Compounding					
B. Directors					
Penalty					
Punishment			No Penalties, Punishments or Compounding of Offences		
Compounding					
C. Other Officers in default					
Penalty					
Punishment			No Penalties, Punishments or Compounding of Offences		
Compounding					

For and on behalf of the Board of Directors
Indian Energy Exchange Limited

Sd/-
Mr. Satyanarayan Goel
 Non-Executive Chairman
 DIN: 02294069

Place: New Delhi
 Dated: July 31, 2019