

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L74999DL2007PLC277039

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCI6753N

(ii) (a) Name of the company

INDIAN ENERGY EXCHANGE LII

(b) Registered office address

1st Floor, Unit No.1.14(a), Avanta Business Centre
Southern Park, D-2, District Centre, Saket
New Delhi
South Delhi
Delhi
110017

(c) *e-mail ID of the company

ixsecretarial@iexindia.com

(d) *Telephone number with STD code

01204648100

(e) Website

www.iexindia.com

(iii) Date of Incorporation

26/03/2007

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
	BSE Limited	1
	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM 02/09/2021

(b) Due date of AGM 30/09/2021

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	INDIAN GAS EXCHANGE LIMITED	U74999DL2019PLC357145	Subsidiary	53

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	402,500,000	299,556,511	299,556,511	299,556,511
Total amount of equity shares (in Rupees)	402,500,000	299,556,511	299,556,511	299,556,511

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	402,500,000	299,556,511	299,556,511	299,556,511
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	402,500,000	299,556,511	299,556,511	299,556,511

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	199,510	299,357,001	299,556,511	299,556,511	299,556,511	

Increase during the year	2,100	0	2100	0	0	0
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify	2,100	0	2100			
Issued on Remat Request						
Decrease during the year	0	2,100	2100	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	0	2,100	2100			
Conversion of Demat Shares into Physical shares						
At the end of the year	201,610	299,354,901	299556511	299,556,511	299,556,511	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
Nil						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
Nil						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE022Q01020

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	28/08/2020
Date of registration of transfer (Date Month Year)	
Type of transfer	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred	Amount per Share/ Debenture/Unit (in Rs.)

Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>			
Type of transfer		<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)		<input type="text"/>	
Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

(iv) * Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

3,168,710,572

(ii) Net worth of the Company

5,199,165,115

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	0	0	0	0

Total number of shareholders (promoters)

0

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	35,657,520	11.9	0	
	(ii) Non-resident Indian (NRI)	1,954,393	0.65	0	
	(iii) Foreign national (other than NRI)	883,334	0.29	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	90	0	0	
5.	Financial institutions	8,300	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	45,304,539	15.12	0	

8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	71,178,608	23.76	0	
10.	Others FPC, QIBs, AIFs, MGT-4, Clea	144,569,727	48.26	0	
	Total	299,556,511	99.98	0	0

Total number of shareholders (other than promoters)

146,133

**Total number of shareholders (Promoters+Public/
Other than promoters)**

146,133

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	0	0
Members (other than promoters)	69,255	146,133
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	5	1	5	0	0
(i) Non-Independent	1	2	1	2	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	1	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	1	0	0	0	0
Total	1	6	1	5	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Satyanarayan Goel	02294069	Managing Director	500	
Kayyalathu Thomas Ch	02446168	Director	0	
Sudha Pillai	02263950	Director	0	
Tejpreet Singh Chopra	00317683	Director	4,071	
Gautam Dalmia	00009758	Director	3,000	
Amit Garg	06385718	Director	0	
Vineet Harlalka	AANPH7927G	Company Secretar	150,778	
Vineet Harlalka	AANPH7927G	CFO	150,778	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Amit Garg	06385718	Additional director	14/05/2020	APPOINTMENT
Amit Garg	06385718	Director	28/08/2020	CHANGE IN DESIGNATION
Ajeet Kumar Agarwal	02231613	Nominee director	01/06/2020	CESSATION
Rajiv Srivastava	03568897	Managing Director	24/08/2020	CESSATION

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	28/08/2020	69,255	113	12.62

B. BOARD MEETINGS

*Number of meetings held

13

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	29/04/2020	7	6	85.71
2	14/05/2020	8	7	87.5
3	28/05/2020	8	7	87.5
4	02/06/2020	7	6	85.71
5	20/07/2020	7	7	100
6	29/07/2020	7	6	85.71
7	24/08/2020	7	7	100
8	14/09/2020	6	5	83.33
9	05/10/2020	6	4	66.67
10	20/10/2020	6	6	100
11	06/01/2021	6	4	66.67
12	21/01/2021	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

17

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	14/05/2020	3	2	66.67
2	AUDIT COMM	29/07/2020	3	2	66.67
3	AUDIT COMM	20/10/2020	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
4	AUDIT COMM	21/01/2021	3	2	66.67
5	AUDIT COMM	18/02/2021	3	3	100
6	NOMINATION	11/05/2020	3	3	100
7	NOMINATION	27/05/2020	3	3	100
8	NOMINATION	18/06/2020	3	3	100
9	NOMINATION	21/07/2020	3	3	100
10	NOMINATION	14/08/2020	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	02/09/2021
								(Y/N/NA)
1	Satyanarayan	13	13	100	4	4	100	Yes
2	Kayyalathu Th	13	13	100	17	17	100	Yes
3	Sudha Pillai	13	13	100	14	14	100	Yes
4	Tejpreet Singh	13	9	69.23	0	0	0	Yes
5	Gautam Dalmi	13	10	76.92	14	9	64.29	No
6	Amit Garg	11	11	100	1	1	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	Satyanarayan Goel	Chairman & Mar	3,280,000	19,501,000	0	2,070,000	24,851,000
2	Rajiv Srivastava	Managing Direct	34,170,000	0	0	4,776,000	38,946,000
	Total		37,450,000	19,501,000	0	6,846,000	63,797,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Vineet Harlalka	CFO & Compan	12,138,000	0	0	0	12,138,000
	Total		12,138,000	0	0	0	12,138,000

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Kayyalathu Thomas	Independent Dir	0	0	0	2,310,000	2,310,000
2	Sudha Pillai	Independent Dir	0	0	0	2,370,000	2,370,000
3	Tejpreet Singh Choj	Independent Dir	0	0	0	810,000	810,000
4	Amit Garg	Non-Executive M	0	0	0	1,500,000	1,500,000
	Total		0	0	0	6,990,000	6,990,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

- Company Secretary
- Company secretary in practice

Membership number Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

IEX MGT-7 Annexure list of Meetings_sign Clarification letter for not sharing list of tran IEX FPI List_signed.pdf Form MGT-8_IEX_2020-21.pdf
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Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

ANNEXURE 13**FORM NO. MGT – 9****EXTRACT OF ANNUAL RETURN**

As on the financial year ended March 31, 2021

[Pursuant to Section 92(3) of the Companies Act, 2013, and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. Registration and Other Details:

Corporate Identity Number (CIN)	L74999DL2007PLC277039
Registration Date	March 26, 2007
Name of the Company	Indian Energy Exchange Limited
Category / Sub-Category of the Company	Listed Company/Company Limited by Shares/Indian Non-Government Company
Address of the Registered Office and contact details	<p>Registered Office: 1st Floor, Unit No.1.14(a), Avanta Business Centre Southern Park, D-2, District Centre, Saket New Delhi 110017, India. Tel. No. +91-011-3044 6511</p> <p>Corporate Office: Plot No. C-001/A/1, 9th Floor, Max Towers Sector-16B, Gautam Buddha Nagar Noida 201301 Uttar Pradesh India Tel. No. +91-120-4648 100 Fax: +91-120-4648 115 Email id – iexsecretarial@iexindia.com</p>
Whether listed company	Yes
Name, Address and Contact details of Registrar and Transfer Agent, if any	<p>KFIN TECHNOLOGIES PRIVATE LIMITED Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Serilingampally Hyderabad– 500 032, India. Toll Free no.: 1800 309 4001 Email: einward.ris@kfintech.com</p>

II. Principal Business Activities of the Company:

All business activities contributing 10% or more of the total turnover of the company shall be stated:

Sl. No.	Name and Description of main products/ services	NIC Code of the Product/service	% to total turnover of the Company
1	Power Exchange	66110	100%

III. Particulars of Holding, Subsidiary and Associate Companies:

Sl. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of Shares held	Applicable Section
	Indian Gas Exchange Limited 1 st Floor, Unit No.1.14(b), Avanta Business Centre Southern Park, D-2, District Centre, Saket New Delhi 110017, India.	U74999DL2019PLC357145	Subsidiary	53%	Section 2 (87)

IV. Shareholding Pattern (Equity Share Capital Breakup as percentage of Total Equity)

i. Category-wise Share Holding: Between March 31, 2020 and March 31, 2021

CATE- GORY CODE	CATEGORY OF SHAREHOLDER	NO. OF SHARES HELD AT THE BEGINNING OF THE YEAR 31/03/2020				NO. OF SHARES HELD AT THE END OF THE YEAR 31/03/2021				% CHANGE DURING THE YEAR
		DEMAT	PHYSICAL	TOTAL	% OF TOTAL SHARES	DEMAT	PHYSICAL	TOTAL	% OF TOTAL SHARES	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)
(A)	PROMOTER AND PROMOTER GROUP									
(1)	INDIAN									
(a)	Individual /HUF	-	-	-	-	-	-	-	-	-
(b)	Central Government/State Government(s)	-	-	-	-	-	-	-	-	-
(c)	Bodies Corporate	-	-	-	-	-	-	-	-	-
(d)	Financial Institutions / Banks	-	-	-	-	-	-	-	-	-
(e)	Others	-	-	-	-	-	-	-	-	-
	Sub-Total A(1):	-	-	-	-	-	-	-	-	-
(2)	FOREIGN									
(a)	Individuals (NRIs/ Foreign Individuals)	-	-	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-	-	-
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-	-	-
(e)	Others	-	-	-	-	-	-	-	-	-
	Sub-Total A(2):	-	-	-	-	-	-	-	-	-
	Total A=A(1)+A(2)	-	-	-	-	-	-	-	-	-
(B)	PUBLIC SHAREHOLDING									
(1)	INSTITUTIONS									
(a)	Mutual Funds /UTI	3,69,58,115	-	3,69,58,115	12.34	4,53,04,539	-	4,53,04,539	15.12	2.79
(b)	Financial Institutions /Banks	76,395	-	76,395	0.03	8,390	-	8,390	0.00	-0.02
(c)	Central Government / State Government(s)	-	-	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-	-	-

CATEGORY CODE	CATEGORY OF SHAREHOLDER	NO. OF SHARES HELD AT THE BEGINNING OF THE YEAR 31/03/2020				NO. OF SHARES HELD AT THE END OF THE YEAR 31/03/2021				% CHANGE DURING THE YEAR
		DEMAT	PHYSICAL	TOTAL	% OF TOTAL SHARES	DEMAT	PHYSICAL	TOTAL	% OF TOTAL SHARES	
(e)	Insurance Companies	-	-	-	-	-	-	-	-	-
(f)	Foreign Institutional Investors	9,58,25,999	-	9,58,25,999	31.99	11,02,80,211	-	11,02,80,211	36.81	4.83
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-
(h)	Qualified Foreign Investor	-	-	-	-	-	-	-	-	-
(i)	Alternative Investment Fund	2,14,74,830	-	2,14,74,830	7.17	1,24,31,943	-	1,24,31,943	4.15	-3.02
(j)	Qualified Institutional Buyers	79,36,501	-	79,36,501	2.65	1,69,82,454	-	1,69,82,454	5.67	3.02
	Sub-Total B(1):	16,22,71,840	-	16,22,71,840	54.17	18,50,07,537	-	18,50,07,537	61.76	7.59
(2)	NON-INSTITUTIONS									
(a)	Bodies Corporate	7,36,86,927	-	7,36,86,927	24.60	6,23,27,398	-	6,23,27,398	20.81	-3.79
(b)	Individuals									
	(i) Individuals holding nominal share capital upto ₹1 lakh	1,67,58,676	49,510	1,68,08,186	5.61	3,42,29,974	51,610	3,42,81,584	11.44	5.83
	(ii) Individuals holding nominal share capital in excess of ₹1 lakh	18,95,785	1,50,000	20,45,785	0.68	12,25,936	1,50,000	13,75,936	0.46	-0.22
(c)	Others									
	CLEARING MEMBERS	1,88,139	-	1,88,139	0.06	12,53,983	-	12,53,983	0.42	0.36
	EMPLOYEE TRUST	12,31,570	-	12,31,570	0.41	10,51,170	-	10,51,170	0.35	-0.06
	FOREIGN BODIES	3,51,62,455	-	3,51,62,455	11.74	88,51,210	-	88,51,210	2.95	-8.78
	BENEFICIAL HOLDINGS									
	UNDER MGT-4	25,36,190	-	25,36,190	0.85	25,36,190	-	25,36,190	0.85	-
	NBFC	2,01,970	-	2,01,970	0.07	17,500	-	17,500	0.01	-0.06
	NON RESIDENT INDIANS	9,19,887	-	9,19,887	0.31	19,54,393	-	19,54,393	0.65	0.35
	NRINON-REPATRIATION	3,89,912	-	3,89,912	0.13	8,83,334	-	8,83,334	0.29	0.16
	TRUSTS	41,13,650	-	41,13,650	1.37	16,276	-	16,276	0.01	-1.37
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-	-	-
	Sub-Total B(2) :	13,70,85,161	1,99,510	13,72,84,671	45.83	11,43,47,364	2,01,610	11,45,48,974	38.24	-7.59
	Total B=B(1)+B(2):	29,93,57,001	1,99,510	29,95,56,511	100	29,93,54,901	2,01,610	29,95,56,511	100	-
	Total (A+B) :	29,93,57,001	1,99,510	29,95,56,511	100	29,93,54,901	2,01,610	29,95,56,511	100	-
(C)	Shares held by custodians, against which Depository Receipts have been issued									
(1)	Promoter and Promoter Group									
(2)	Public	-	-	-	-	-	-	-	-	-
	GRAND TOTAL (A+B+C) :	29,93,57,001	1,99,510	29,95,56,511	100	29,93,54,901	2,01,610	29,95,56,511	100	-

ii. Shareholding of Promoters

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	
Not Applicable								

iii. Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	At the beginning of the year	Not Applicable			
2	Date wise Increase/Decrease in Promoters Shareholding during the year:	Not Applicable			
3	At the end of the year	Not Applicable			

iv. Shareholding Pattern of Top Ten Shareholders (other than Directors, promoters and Holders of GDRs and ADRs):

Sl. No.	Name of Shareholder	Date	Reason	Shareholding at the beginning of the Year		Increase/ (Decrease) in Number of Shares	Cumulative Shareholding at the end of the Year	
				No. of Shares	% of total shares of the Company		No. of Shares	% of total shares of the Company
1	Dalmia Power Limited	Opening Balance		3,00,03,361	10.02			
		11/09/2020	Sale			(55,00,000)	2,45,03,361	8.18
Closing Balance							2,45,03,361	8.18
2	DPVL VENTURES LLP*	Opening Balance		3,00,03,351	10.02			
		18/09/2020	Sale			(70,00,000)	2,30,03,351	7.68
Closing Balance							2,30,03,351	7.68

Sl. No.	Name of Shareholder	Date	Reason	Shareholding at the beginning of the Year		Increase/ (Decrease) in Number of Shares	Cumulative Shareholding at the end of the Year		
				No. of Shares	% of total shares of the Company		No. of Shares	% of total shares of the Company	
3	Mirae Asset Large Cap Fund	Opening Balance		1,97,67,067	6.60				
		08/05/2020	Purchase			5,00,000	2,02,67,067	6.77	
		15/05/2020	Purchase			9,35,000	2,12,02,067	7.08	
		29/05/2020	Purchase			10,10,352	2,22,12,419	7.42	
		19/06/2020	Purchase			67,144	2,22,79,563	7.44	
		07/08/2020	Sale			(6,65,508)	2,16,14,055	7.22	
		14/08/2020	Sale			(1,50,000)	2,14,64,055	7.17	
		29/01/2021	Sale			(1,76,432)	2,12,87,623	7.11	
		12/02/2021	Sale			(15,00,000)	1,97,87,623	6.61	
		19/03/2021	Sale			(31,16,806)	1,66,70,817	5.57	
		26/03/2021	Sale			(11,35,877)	1,55,34,940	5.19	
		Closing Balance						1,55,34,940	5.19
		4	PPFAS Mutual Fund - Parag Parikh Flexi Cap Fund	Opening Balance		-	-		
24/07/2020	Purchase					44,016	44,016	0.01	
31/07/2020	Purchase					3,95,785	4,39,801	0.15	
07/08/2020	Purchase					18,30,676	22,70,477	0.76	
21/08/2020	Purchase					72,812	23,43,289	0.78	
28/08/2020	Purchase					24,84,686	48,27,975	1.61	
04/09/2020	Purchase					26,64,299	74,92,274	2.50	
11/09/2020	Purchase					67,000	75,59,274	2.52	
25/09/2020	Purchase					10,94,463	86,53,737	2.89	
30/09/2020	Purchase					4,63,097	91,16,834	3.04	
16/10/2020	Purchase					5,58,165	96,74,999	3.23	
23/10/2020	Purchase					56,822	97,31,821	3.25	
30/10/2020	Purchase					20,25,764	1,17,57,585	3.92	
06/11/2020	Purchase					16,06,568	1,33,64,153	4.46	
27/11/2020	Purchase					3,91,368	1,37,55,521	4.59	
11/12/2020	Purchase					9,703	1,37,65,224	4.60	
18/12/2020	Purchase					2,00,000	1,39,65,224	4.66	
25/12/2020	Purchase					2,80,829	1,42,46,053	4.76	
31/12/2020	Purchase					17,062	1,42,63,115	4.76	
08/01/2021	Purchase					12,000	1,42,75,115	4.77	
15/01/2021	Purchase			7,00,000	1,49,75,115	5.00			
22/01/2021	Purchase			13,150	1,49,88,265	5.00			
05/02/2021	Purchase			5,000	1,49,93,265	5.01			
Closing Balance						1,49,93,265	5.01		

Sl. No.	Name of Shareholder	Date	Reason	Shareholding at the beginning of the Year		Increase/ (Decrease) in Number of Shares	Cumulative Shareholding at the end of the Year	
				No. of Shares	% of total shares of the Company		No. of Shares	% of total shares of the Company
5	WF Asian Reconnaissance Fund Limited	Opening Balance		1,48,27,600	4.95	-	-	-
		Closing Balance					1,48,27,600	4.95
6	Westbridge Crossover Fund, LLC	Opening Balance		1,42,44,273	4.76			
		22/05/2020	Sale			(64,04,646)	78,39,627	2.62
		29/05/2020	Sale			(65,73,337)	12,66,290	0.42
		05/06/2020	Sale			(12,66,290)	-	-
		Closing Balance						-
7	Smallcap World Fund, Inc	Opening Balance		1,41,90,655	4.74			
		05/02/2021	Purchase			7,27,180	1,49,17,835	4.98
		19/02/2021	Sale			(5,74,500)	1,43,43,335	4.79
		12/03/2021	Sale			(5,69,953)	1,37,73,382	4.60
		26/03/2021	Sale			(7,22,448)	1,30,50,934	4.36
		Closing Balance						1,30,50,934
8	Rimco (Mauritius) Limited	Opening Balance		1,36,35,750	4.55			
		12/02/2021	Sale			(3,25,000)	1,33,10,750	4.44
		19/02/2021	Sale			(65,217)	1,32,45,533	4.42
		26/02/2021	Sale			(18,24,758)	1,14,20,775	3.81
		05/03/2021	Sale			(22,85,025)	91,35,750	3.05
		26/03/2021	Sale			(2,84,540)	88,51,210	2.95
Closing Balance						88,51,210	2.95	
9	Dalmia Cement (Bharat) Limited	Opening Balance		-	-	-	-	-
		18/09/2020	Purchase			1,21,49,844	1,21,49,844	4.06
		25/09/2020	Purchase			2,50,000	1,23,99,844	4.14
Closing Balance						1,23,99,844	4.14	
10	Rural Electrification Corporation Ltd	Opening Balance		1,22,71,211	4.10			
		21/08/2020	Sale			(5,00,000)	1,17,71,211	3.93
		28/08/2020	Sale			(80,00,000)	37,71,211	1.26
		18/09/2020	Sale			(1,98,218)	35,72,993	1.19
		25/09/2020	Sale			(2,75,906)	32,97,087	1.10

Sl. No.	Name of Shareholder	Date	Reason	Shareholding at the beginning of the Year		Increase/ (Decrease) in Number of Shares	Cumulative Shareholding at the end of the Year	
				No. of Shares	% of total shares of the Company		No. of Shares	% of total shares of the Company
		30/09/2020	Sale			(5,51,280)	27,45,807	0.92
		20/11/2020	Sale			(71,072)	26,74,735	0.89
		27/11/2020	Sale			(4,03,524)	22,71,211	0.76
		22/01/2021	Sale			(9,25,014)	13,46,197	0.45
		29/01/2021	Sale			(4,28,864)	9,17,333	0.31
		05/02/2021	Sale			(9,17,333)	-	0.00
Closing Balance							-	-
10	Nippon Life India Trustee Ltd -A/C Nippon India Small Cap Fund**	Opening Balance		1,13,26,310	3.78			
		22/05/2020	Purchase			35,00,000	1,48,26,310	4.95
		05/06/2020	Purchase			50,000.00	1,48,76,310	4.97
		26/06/2020	Sale			(92,700)	1,47,83,610	4.94
		03/07/2020	Sale			(59,000)	1,47,24,610	4.92
		24/07/2020	Sale			(1,94,500)	1,45,30,110	4.85
		31/07/2020	Purchase			6,40,221	1,51,70,331	5.06
		18/09/2020	Purchase			1,60,000	1,53,30,331	5.12
		09/10/2020	Purchase			60,000	1,53,90,331	5.14
		23/10/2020	Purchase			22,580	1,54,12,911	5.15
		30/10/2020	Purchase			136	1,54,13,047	5.15
		06/11/2020	Purchase			62,860	1,54,75,907	5.17
		06/11/2020	Sale			(35,600)	1,54,40,307	5.15
		13/11/2020	Sale			(1,926)	1,54,38,381	5.15
		20/11/2020	Purchase			533	1,54,38,914	5.15
		27/11/2020	Purchase			1,489	1,54,40,403	5.15
		04/12/2020	Purchase			994	1,54,41,397	5.15
		11/12/2020	Purchase			8,137	1,54,49,534	5.16
		18/12/2020	Purchase			1,480	1,54,51,014	5.16
		18/12/2020	Sale			(3,00,000)	1,51,51,014	5.06
		25/12/2020	Purchase			516	1,51,51,530	5.06
		31/12/2020	Purchase			1,730	1,51,53,260	5.06
		01/01/2021	Sale			(100)	1,51,53,160	5.06
		08/01/2021	Purchase			41,009	1,51,94,169	5.07
		08/01/2021	Sale			(12,73,263)	1,39,20,906	4.65
		15/01/2021	Purchase			7,787	1,39,28,693	4.65
		15/01/2021	Sale			(8,10,000)	1,31,18,693	4.38
		22/01/2021	Purchase			5,385	1,31,24,078	4.38

Sl. No.	Name of Shareholder	Date	Reason	Shareholding at the beginning of the Year		Increase/ (Decrease) in Number of Shares	Cumulative Shareholding at the end of the Year	
				No. of Shares	% of total shares of the Company		No. of Shares	% of total shares of the Company
		29/01/2021	Purchase			2,543	1,31,26,621	4.38
		05/02/2021	Purchase			868	1,31,27,489	4.38
		12/02/2021	Sale			(21,25,992)	1,10,01,497	3.67
		19/02/2021	Purchase			114	1,10,01,611	3.67
		19/02/2021	Sale			(6,63,000)	1,03,38,611	3.45
		26/02/2021	Purchase			3,892	1,03,42,503	3.45
		26/02/2021	Sale			(2,00,000)	1,01,42,503	3.39
		05/03/2021	Sale			(2,22,068)	99,20,435	3.31
		12/03/2021	Sale			(2,01,351)	97,19,084	3.24
		19/03/2021	Purchase			2,718	97,21,802	3.25
		19/03/2021	Sale			(5,50,000)	91,71,802	3.06
		26/03/2021	Purchase			4,151	91,75,953	3.06
		26/03/2021	Sale			(19,00,000)	72,75,953	2.43
		31/03/2021	Sale			(1,12,773)	71,63,180	2.39
Closing Balance							71,63,180	2.39

11	ICICI Prudential Life Insurance Company Limited	Opening Balance	10,49,107	0.35				
		29/05/2020	Purchase			32,37,062	42,86,169	1.43
		05/06/2020	Purchase			5,00,691	47,86,860	1.60
		26/06/2020	Purchase			4,89,301	52,76,161	1.76
		30/06/2020	Purchase			1,31,427	54,07,588	1.81
		03/07/2020	Purchase			11,273	54,18,861	1.81
		10/07/2020	Sale			(517)	54,18,344	1.81
		17/07/2020	Sale			(19,948)	53,98,396	1.80
		24/07/2020	Sale			(53,107)	53,45,289	1.78
		31/07/2020	Sale			(83,352)	52,61,937	1.76
		07/08/2020	Sale			(3,75,852)	48,86,085	1.63
		14/08/2020	Sale			(1,61,605)	47,24,480	1.58
		21/08/2020	Sale			(72,563)	46,51,917	1.55
		28/08/2020	Sale			(5,280)	46,46,637	1.55
		04/09/2020	Purchase			11,03,875	57,50,512	1.92
		11/09/2020	Purchase			9,10,905	66,61,417	2.22
		18/09/2020	Purchase			11,70,384	78,31,801	2.61
		25/09/2020	Purchase			2,47,770	80,79,571	2.70
		30/09/2020	Purchase			18,410	80,97,981	2.70
		02/10/2020	Purchase			1,32,401	82,30,382	2.75

Sl. No.	Name of Shareholder	Date	Reason	Shareholding at the beginning of the Year		Increase/ (Decrease) in Number of Shares	Cumulative Shareholding at the end of the Year		
				No. of Shares	% of total shares of the Company		No. of Shares	% of total shares of the Company	
		09/10/2020	Sale			(37,328)	81,93,054	2.74	
		16/10/2020	Purchase			1,27,962	83,21,016	2.78	
		23/10/2020	Purchase			1,54,645	84,75,661	2.83	
		13/11/2020	Sale			(78,703)	83,96,958	2.80	
		20/11/2020	Sale			(7,536)	83,89,422	2.80	
		04/12/2020	Purchase			9,53,822	93,43,244	3.12	
		11/12/2020	Purchase			1,15,758	94,59,002	3.16	
		18/12/2020	Purchase			1,61,694	96,20,696	3.21	
		25/12/2020	Purchase			2,07,112	98,27,808	3.28	
		31/12/2020	Purchase			3,63,923	1,01,91,731	3.40	
		01/01/2021	Purchase			4,18,623	1,06,10,354	3.54	
		08/01/2021	Purchase			10,82,770	1,16,93,124	3.90	
		15/01/2021	Sale			(1,61,235)	1,15,31,889	3.85	
		22/01/2021	Purchase			1,32,035	1,16,63,924	3.89	
		29/01/2021	Sale			(5,99,641)	1,10,64,283	3.69	
		05/02/2021	Purchase			68,854	1,11,33,137	3.72	
		19/02/2021	Sale			(7,94,770)	1,03,38,367	3.45	
		26/02/2021	Sale			(5,69,795)	97,68,572	3.26	
		05/03/2021	Sale			(1,04,365)	96,64,207	3.23	
		12/03/2021	Sale			(1,84,806)	94,79,401	3.16	
		19/03/2021	Sale			(871)	94,78,530	3.16	
		26/03/2021	Sale			(1,33,579)	93,44,951	3.12	
		Closing Balance						93,44,951	3.12
14	India Business Excellence Fund IIA	Opening Balance		72,82,432	2.43				
		14/08/2020	Sale			(1,300)	72,81,132	2.43	
		18/09/2020	Sale			(14,60,488)	58,20,644	1.94	
		02/10/2020	Sale			(6,500)	58,14,144	1.94	
		09/10/2020	Sale			(2,01,508)	56,12,636	1.87	
		27/11/2020	Sale			(1,77,388)	54,35,248	1.81	
		04/12/2020	Sale			(2,64,925)	51,70,323	1.73	
		11/12/2020	Sale			(2,14,241)	49,56,082	1.65	
		18/12/2020	Sale			(1,55,570)	48,00,512	1.60	
		31/12/2020	Sale			(5,61,479)	42,39,033	1.42	
		01/01/2021	Sale			(40,403)	41,98,630	1.40	
		08/01/2021	Sale			(3,72,990)	38,25,640	1.28	

Sl. No.	Name of Shareholder	Date	Reason	Shareholding at the beginning of the Year		Increase/ (Decrease) in Number of Shares	Cumulative Shareholding at the end of the Year	
				No. of Shares	% of total shares of the Company		No. of Shares	% of total shares of the Company
		15/01/2021	Sale			(6,06,259)	32,19,381	1.07
		22/01/2021	Sale			(2,51,163)	29,68,218	0.99
		05/02/2021	Sale			(7,95,495)	21,72,723	0.73
		12/02/2021	Sale			(6,52,346)	15,20,377	0.51
		19/02/2021	Sale			(1,36,770)	13,83,607	0.46
		26/02/2021	Sale			(2,45,968)	11,37,639	0.38
		05/03/2021	Sale			(5,24,441)	6,13,198	0.20
		12/03/2021	Sale			(6,13,198)	-	-
Closing Balance							-	-

*Earlier known as TVS Shriram Growth Fund 1B LLP

** Earlier known as Reliance Capital Trustee Co Ltd-A/C Nippon India Small Cap Fund

v. Shareholding of Directors and Key Managerial Personnel:

Sl. No.	Mr. Satyanarayan Goel, Chairman & Managing Director #	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	At the beginning of the year	5,000	0.00	-	-
2	Date wise Increase/Decrease in shareholding during the year:				
	Sale on 22-Jun-2020	(1,000)	(0.00)	4,000	0.00
	Sale on 26-Jun-2020	(500)	(0.00)	3,500	0.00
	Sale on 30-Jun-2020	(3,000)	(0.00)	500	0.00
3	At the end of the year			500	0.00

#Mr. Satyanarayan Goel, was appointed as the Chairman & Managing Director of the Company with effect from February 19, 2021. Prior to above appointment he was Non-Executive Chairman of the Board

Sl. No.	Mr. Gautam Dalmia, Non-Executive Director	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	At the beginning of the year	3,000	0.00	-	-
2	Date wise Increase/Decrease in shareholding during the year:				
		-			
3	At the end of the year			3,000	0.00

Sl. No.	Mr. Tejpreet Singh Chopra, Non-Executive Independent Director	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	At the beginning of the year	4,071	0.00	-	
2	Date wise Increase/Decrease in shareholding during the year:	-			
3	At the end of the year		-	4,071	0.00

Sl. No.	Mr. Vineet Harlalka, CFO & Company Secretary	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	At the beginning of the year	1,96,830	0.07		
2	Date wise Increase/Decrease in shareholding during the year):				
	Sale on 10-Aug-2020	(41,052)	(0.00)	1,55,778	0.05
	Sale on 12-Mar-2021	(5,000)	(0.00)	1,50,778	0.05
3	At the end of the year	-	-	1,50,778	0.05

V. Indebtedness

Indebtedness of the Company including interest outstanding/ accrued but not due for payment

(Amount in ₹)

Particulars	Secured Loans excluding deposits*	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	Nil	Nil	Nil	Nil
ii) Interest due but not paid	Nil	Nil	Nil	Nil
iii) Interest accrued but not due	Nil	Nil	Nil	Nil
Total (i+ ii+ iii)	Nil	Nil	Nil	Nil
Change in Indebtedness during the financial year				
Addition	Nil	Nil	Nil	Nil
Reduction	Nil	Nil	Nil	Nil
Net Change	Nil	Nil	Nil	Nil
Indebtedness at the end of the financial year				
i) Principal Amount	Nil	Nil	Nil	Nil
ii) Interest due but not paid	Nil	Nil	Nil	Nil
iii) Interest accrued but not due	Nil	Nil	Nil	Nil
Total (i+ ii+ iii)	Nil	Nil	Nil	Nil

VI. Remuneration of Directors and Key Managerial Personnel

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration			(Amount in ₹ lakh)
		Mr. Satyanarayan Goel	Mr. Rajiv Srivastava	Total Amount*
		Chairman & Managing Director ^[1]	Managing Director & CEO ^[2]	
1	Gross Salary			
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	18.58	218.04	236.62
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	-	0.24	0.24
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission			
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	others			
	Company contribution towards PF	2.23	11.22	13.45
	Reimbursement of expenses	-	-	-
	Variable Pay (Paid)	11.99	112.20	124.19
	Gratuity	-	2.50	2.50
	Joining Bonus	-	37.74	37.74
	Leave encashment	-	7.52	7.52
6	Total (A)	32.80	389.46	422.26
7	Ceiling as per the Act (@ 10% of profits calculated as per Section 198 of the Act)	-	-	2879.00

*This excludes gratuity (Except in case of Full & final settlement)

Note

^[1] Mr. Satyanarayan Goel appointed as the Chairman & Managing Director of the Company with effect from February 19, 2021.

^[2] Mr. Rajiv Srivastava ceased to be Managing Director and Chief Executive Officer with effect from August 24, 2020.

B. Remuneration to other directors:**1. Independent Directors**

(Amount in ₹ lakh)

Sl. No.	Particulars of Remuneration	Name of Director's			Total Amount
		Prof. Kayyalathu Thomas Chacko	Mr. Tejpreet Singh Chopra	Ms. Sudha Pillai	
(a)	Fee for attending board /committee meetings (excluding GST)	23.10	8.10	23.70	54.90
(b)	Commission	Nil	Nil	Nil	Nil
(c)	Others, please specify	Nil	Nil	Nil	Nil
	Total (1)	23.10	8.10	23.70	54.90

2. Other Non-Executive Directors

(Amount in ₹ lakh)

Sl. No.	Particulars of Remuneration	Mr. Satyanarayan Goel ^[1]	Mr. Amit Garg ^[2]	Total Amount	
(a)	Fee for attending board /committee meetings (excluding GST)		20.70	15.00	35.70
(b)	Commission		*195.01	Nil	195.01
(c)	Others, please specify		Nil	Nil	Nil
	Total (2)		215.71	15.00	230.71

Note

* Includes ₹ 97.07 lakhs towards provision of variable commission, to be paid post requisite approvals (previous year included ₹ 70 lakhs variable commission, against which ₹ 59.95 lakhs was paid in current year).

^[1] Mr. Satyanarayan Goel ceased to be Non-executive Non-Independent Chairman of the Board with effect from February 18, 2021.

^[2] Mr. Amit Garg was appointed as an Additional Director (Non-Executive Non-Independent Director) with effect from May 14, 2020, and his appointment was regularised in the 14th Annual General Meeting held on August 28, 2020.

Total (B)=(1+2)	285.61
Total Managerial Remuneration excluding sitting fee	195.01
Overall Ceiling as per the Act (@ 1% of profits calculated as per Section 198 of the Act)	288.00

C. Remuneration to Key Managerial Personnel other than MD/ Manager/ WTD

(Amount in ₹ lakh)

Sl. No.	Particulars of Remuneration	Mr. Vineet Harlalka	Total Amount*
		CFO ,Company Secretary & Compliance Officer	
1	Gross Salary		
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	71.27	71.27
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	0.49	0.49
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission	-	-

Sl. No.	Particulars of Remuneration	Mr. Vineet Harlalka	Total Amount*
		CFO ,Company Secretary & Compliance Officer	
	- as % of profit	-	-
	- others, specify	-	-
5	Others		
	Company contribution towards PF	3.82	3.82
	Reimbursement of expenses		
	Variable Pay & Bonus ^[1]	42.08	42.08
	Leave encashment	3.72	3.72
6	Total (C)	121.38	121.38
7	Ceiling as per the Act	N.A.	N.A.

* This excludes gratuity.

^[1] Includes variable pay paid for FY21.

VII. Penalties/ Punishment/ Compounding of Offences:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any
A. Company					
Penalty					
Punishment			No Penalties, Punishments or Compounding of Offences		
Compounding					
B. Directors					
Penalty					
Punishment			No Penalties, Punishments or Compounding of Offences		
Compounding					
C. Other Officers in default					
Penalty					
Punishment			No Penalties, Punishments or Compounding of Offences		
Compounding					

For and on behalf of the **Board of Directors**
Indian Energy Exchange Limited

Sd/-
Satyanarayan Goel

Chairman & Managing Director

DIN: 02294069

Place: Noida

Date: 22 July, 2021