



Dated: April 15, 2021

The Manager  
BSE Limited  
Corporate Relationship Department  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai- 400001

The Manager  
National Stock Exchange of India Ltd  
Listing Department  
Exchange Plaza, 5<sup>th</sup> Floor, Plot no. C/1  
G Block, Bandra Kurla Complex  
Bandra (E), Mumbai-400 051

**Scrip Code: 540750**

**Symbol: IEX**

**Sub: Submission of Certificate under Regulation 40(10) of SEBI (Listing Obligation & Disclosure Requirement) Regulation, 2015 for the half year ended March 31, 2021.**

Dear Sir / Madam,

Pursuant to Regulation 40(10) of SEBI (Listing Obligation & Disclosure Requirement) Regulation, 2015, we hereby submit the certificate from Practicing Company Secretary as required under Regulation 40 (9) of SEBI (Listing Obligation & Disclosure Requirement) Regulation, 2015, **for the half year ended March 31, 2021.**

The above information will also be made available on the website of the Company:  
[www.iexindia.com](http://www.iexindia.com)

You are requested to take the above information on record.

Thanking You

Yours faithfully,

For Indian Energy Exchange Limited

**Vineet Harlalka**  
CFO, Company Secretary & Compliance Officer  
Membership No. ACS-16264



Encl: as above



## MNK AND ASSOCIATES LLP

Company Secretaries

G-41, Ground Floor, West Patel Nagar, New Delhi - 110008

Tel: +91-11-45095230; Mobile: +91-9818156340; Email:nazim@mnkassociates.com

The Board of Directors  
Indian Energy Exchange Limited

### Registered Office

First Floor, Unit No. 1.14(a)  
Avanta Business Centre,  
Southern Park, D-2, District Centre, Saket  
New Delhi – 110017

### Corporate Office

Plot No. C-001/A/1, 9th Floor,  
Max Towers, Sector 16 B,  
Noida, Gautam Buddha Nagar,  
Uttar Pradesh – 201301

### COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED MARCH 31, 2021

We have examined the relevant Registers, papers and documents that were considered necessary of Indian Energy Exchange Limited, a company incorporated under the Companies Act, 1956, having registered office at First Floor, Unit No. 1.14(a), Avanta Business Centre, Southern Park, D-2, District Centre, Saket, New Delhi-110017 and having Corporate office at Plot No. C-001/A/1, 9th Floor, Max Towers, Sector 16 B, Noida, Gautam Buddha Nagar, Uttar Pradesh – 201301 produced by their Registrar and Share Transfer Agent (RTA) namely KFin Technologies Private Limited (Formerly Known as Karvy Fintech Private Limited), having its registered office & Corporate Office at Selenium Tower B” Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad-500032 Telangana India (the RTA) for the purpose of issuing the certificate under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 to be filed with the Stock Exchanges.

Based on our examination and explanations furnished to us and on the basis of the Certificate dated April 06, 2021, issued by the RTA, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that during the half year ended March 31, 2021, the Company has delivered all the certificates within the period stipulated in the Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 from the date of lodgement for transfer, transmission, consolidation, sub-division, renewal, duplicate issue of share certificate(s), exchange of certificates, etc.

Date: 15.04.2021  
Place: New Delhi

For MNK and Associates LLP  
Company Secretaries  
FRN: L2018DE004900

MOHD  
NAZIM  
KHAN

Mohd Nazim Khan  
Designated Partner  
FCS-6529, CP-8245  
UDIN: F006529C000095827

Digitally signed by MOHD NAZIM KHAN  
DN: cn=N, o=Personal,  
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e=925111@rediffmail.com, c=IN,  
o=925111, postalCode=110005, st=DELHI,  
serialNumber=20, dnQualifier=01514818C,  
3031 dec22a274064cc0b5585097794  
42e18b0a, email=MOHD NAZIM KHAN  
Date: 2021.04.15 18:41:23 +0530