

General information about company	
Scrip code	540750
NSE Symbol	IEX
MSEI Symbol	NOTLISTED
ISIN	INE022Q01020
Name of the company	INDIAN ENERGY EXCHANGE LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	93060	298457441			298457441	99.63	298457441		298457441	99.63
(C)	Non Promoter-Non Public	1	1099070			1099070		1099070		1099070	0.37
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	1099070			1099070	0.37	1099070		1099070	0.37
	Total	93061	299556511			299556511	100	299556511		299556511	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public				99.63					298257931
(C)	Non Promoter-Non Public									1099070
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts				0.37					1099070
	Total				100					299357001

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	16	59905248			59905248	20	59905248		59905248	20
(c)	Alternate Investment Funds	14	15340745			15340745	5.12	15340745		15340745	5.12
(e)	Foreign Portfolio Investors	107	88728174			88728174	29.62	88728174		88728174	29.62
(f)	Financial Institutions/ Banks	2	8390			8390	0	8390		8390	0
(i)	Any Other (specify)	8	34448385			34448385	11.5	34448385		34448385	11.5
Sub-Total (B) (1)		147	198430942			198430942	66.24	198430942		198430942	66.24
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	90626	26794721			26794721	8.94	26794721		26794721	8.94
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	330523			330523	0.11	330523		330523	0.11
(e)	Any Other (specify)	2286	72901255			72901255	24.34	72901255		72901255	24.34
Sub-Total (B) (3)		92913	100026499			100026499	33.39	100026499		100026499	33.39
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		93060	298457441			298457441	99.63	298457441		298457441	99.63

C		Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	1099070			1099070	0.37	1099070	1099070	0.37
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+ (C)(2)		1	1099070			1099070		1099070	1099070	0.37
Total (A+B+C2)		93061	299556511			299556511	100	299556511	299556511	100
Total (A+B+C)		93061	299556511			299556511	100	299556511	299556511	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				20					59905248
(c)				5.12					15340745
(e)				29.62					88728174
(f)				0					8390
(i)				11.5					34448385
Sub-Total (B) (1)				66.24					198430942
(3)	Non-institutions								
(a(i))				8.94					26595211
(a(ii))				0.11					330523
(e)				24.34					72901255
Sub-Total (B) (3)				33.39					99826989
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				99.63					298257931
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(2)				0.37					1099070
Total NonPromoter-Non Public Shareholding (C)= (C)(1)+(C)(2)									1099070
Total (A+B+C2)				100					299357001
Total (A+B+C)				100					299357001

Mutual Funds					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON POWER & INFRA FUND	UTI-MASTERSHARE UNIT SCHEME	MIRAE ASSET LARGE CAP FUND	PPFAS MUTUAL FUND - PARAG PARIKH LONG TERM EQUITY FUND	Click here to go back
PAN (II)	AAATR0090B	AAATU1088L	AACTM0203B	AACTP2540E	Total
No. of fully paid up equity shares held (IV)	15903260	4093891	21464055	14263115	55724321
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	15903260	4093891	21464055	14263115	55724321
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.31	1.37	7.17	4.76	18.6
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	15903260	4093891	21464055	14263115	55724321
Class eg:y					
Total	15903260	4093891	21464055	14263115	55724321
Total as a % of Total Voting rights	5.31	1.37	7.17	4.76	18.6
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	5.31	1.37	7.17	4.76	18.6

(VII)+(X) As a % of (A+B+C2)					
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	15903260	4093891	21464055	14263115	55724321
Reason for not providing PAN					
Reason for not providing PAN					

Alternate Investment Funds			
Serial No.	1	2	
Name of the Shareholders (I)	IIFL INDIA PRIVATE EQUITY FUND - SERIES 1A	VANTAGE EQUITY FUND	Click here to go back
PAN (II)	AABTI7757B	AACTV5686P	Total
No. of fully paid up equity shares held (IV)	4090000	4642000	8732000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4090000	4642000	8732000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.37	1.55	2.91
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	4090000	4642000	8732000
Class eg:y			
Total	4090000	4642000	8732000
Total as a % of Total Voting rights	1.37	1.55	2.91
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.37	1.55	2.91

Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	4090000	4642000	8732000
Reason for not providing PAN			
Reason for not providing PAN			

Foreign Portfolio Investors							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	AMERICAN FUNDS INSURANCE SERIES GLOBAL SMALL CAPIT	FIDELITY INVESTMENT TRUST FIDELITY SERIES INTERNAT	SMALLCAP WORLD FUND, INC	WF ASIAN RECONNAISSANCE FUND LIMITED	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	EASTSPRING INVESTMENTS INDIA EQUITY OPEN LIMITED	BAILLIE GIFFORD PACIFIC FUND A SUB FUND OF BAILLIE
PAN (II)	AAATA5708K	AAATF2954N	AABCS3581L	AABCW5771E	AADCM8931A	AADCP4503H	AADCR4909B
No. of fully paid up equity shares held (IV)	3150000	3006200	14190655	14827600	6158000	3869099	4109184
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3150000	3006200	14190655	14827600	6158000	3869099	4109184
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.05	1	4.74	4.95	2.06	1.29	1.37
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3150000	3006200	14190655	14827600	6158000	3869099	4109184
Class eg: y							
Total	3150000	3006200	14190655	14827600	6158000	3869099	4109184
Total as a % of Total Voting rights	1.05	1	4.74	4.95	2.06	1.29	1.37
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of							

Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.05	1	4.74	4.95	2.06	1.29	1.37
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3150000	3006200	14190655	14827600	6158000	3869099	4109184
Reason for not providing PAN							
Reason for not providing PAN							

Foreign Portfolio Investors		
Searial No.	8	
Name of the Shareholders (I)	AQUAMARINE MASTER FUND L.P	Click here to go back
PAN (II)	ABJFA0708R	Total
No. of fully paid up equity shares held (IV)	4400000	53710738
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4400000	53710738
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.47	17.93
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4400000	53710738
Class eg:y		
Total	4400000	53710738
Total as a % of Total Voting rights	1.47	17.93
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.47	17.93

Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4400000	53710738
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Other	Other	Overseas Corporate Bodies	Overseas Corporate Bodies	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Click here to go back
Name of the Shareholders (I)	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	SBI LIFE INSURANCE CO. LTD	INDIA BUSINESS EXCELLENCE FUND IIA	RIMCO (MAURITIUS) LIMITED	
PAN (II)	AAACI7351P	AAFCS2530P	AACCI8300A	AAHCR2023A	Total
No. of the Shareholders (I)	1	1	1	1	0
No. of fully paid up equity shares held (IV)	10191731	6147349	4239033	13635750	0
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	10191731	6147349	4239033	13635750	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.4	2.05	1.42	4.55	0
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	10191731	6147349	4239033	13635750	0
Class eg:y					
Total	10191731	6147349	4239033	13635750	0
Total as a % of Total Voting rights	3.4	2.05	1.42	4.55	0
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)					
Shareholding , as a	3.4	2.05	1.42	4.55	0

% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)					
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	10191731	6147349	4239033	13635750	0
Reason for not providing PAN					
Reason for not providing PAN					

Any Other (specify)				
Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	DALMIA CEMENT (BHARAT) LIMITED	DALMIA POWER LIMITED	DPVL VENTURES LLP	Click here to go back
PAN (II)	AADCA9414C	AAJCS2658E	AAKFT7981R	Total
No. of the Shareholders (I)	1	1	1	0
No. of fully paid up equity shares held (IV)	12399844	24503361	23003351	0
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12399844	24503361	23003351	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.14	8.18	7.68	0
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	12399844	24503361	23003351	0
Class eg:y				
Total	12399844	24503361	23003351	0
Total as a % of Total Voting rights	4.14	8.18	7.68	0
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible	4.14	8.18	7.68	0

securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)				
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	12399844	24503361	23003351	0
Reason for not providing PAN				
Reason for not providing PAN				

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence