

General information about company

Scrip code	540750
NSE Symbol	IEX
MSEI Symbol	NOTLISTED
ISIN	INE022Q01020
Name of the company	INDIAN ENERGY EXCHANGE LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	68681	298324941			298324941	99.59	298324941		298324941	100
(C)	Non Promoter-Non Public	1	1231570			1231570		0		0	0
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	1231570			1231570	0.41	0		0	0
	Total	68682	299556511			299556511	100	298324941		298324941	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public				99.59					298125431
(C)	Non Promoter-Non Public									1231570
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts				0.41					1231570
	Total				100					299357001

(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	577781			577781	0.19	577781		577781	0.19
(b)	NBFCs registered with RBI	1	201970			201970	0.07	201970		201970	0.07
(e)	Any Other (specify)	1212	89771206			89771206	29.97	89771206		89771206	30.09
Sub-Total (B) (3)		68550	108827147			108827147	36.33	108827147		108827147	36.48
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)		68681	298324941			298324941	99.59	298324941		298324941	100
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	1231570			1231570	0.41	0		0	0
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+ (C)(2)		1	1231570			1231570		0		0	0
Total (A+B+C2)		68682	299556511			299556511	100	298324941		298324941	100
Total (A+B+C)		68682	299556511			299556511	100	298324941		298324941	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				12.34					36958115
(c)				7.17					21474830
(e)				31.99					95825999
(f)				0.03					76395
(i)				11.74					35162455
Sub-Total (B) (1)				63.26					189497794
(3)	Non-institutions								
(a(i))				6.1					18076680
(a(ii))				0.19					577781
(b)				0.07					201970
(e)				29.97					89771206
Sub-Total (B) (3)				36.33					108627637
Total Public Shareholding				99.59					298125431

(B)=(B)(1)+ (B)(2)+(B)(3)									
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(2)				0.41					1231570
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+ (C)(2)									1231570
Total (A+B+C2)				100					299357001
Total (A+B+C)				100					299357001

Mutual Funds

Searial No.	1	2	3	
Name of the Shareholders (I)	RELIANCE CAPITAL TRUSTEE CO LTD-A/C NIPPON INDIA SMALL CAP FUND	UTI-MASTERSHARE UNIT SCHEME	MIRAE ASSET TAX SAVER FUND	Click here to go back
PAN (II)	AAATR0090B	AAATU1088L	AACTM0203B	Total
No. of fully paid up equity shares held (IV)	11326310	4372891	19767067	35466268
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	11326310	4372891	19767067	35466268
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.78	1.46	6.6	11.84
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	11326310	4372891	19767067	35466268
Class eg:y				
Total	11326310	4372891	19767067	35466268
Total as a % of Total Voting rights	3.8	1.47	6.63	11.89
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.78	1.46	6.6	11.84
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	11326310	4372891	19767067	35466268
Reason for not providing PAN				
Reason for not providing PAN				

Alternate Investment Funds				
Searial No.	1	2	3	
Name of the Shareholders (I)	IIFL SPECIAL OPPORTUNITIES FUND	IIFL SPECIAL OPPORTUNITIES FUND - SERIES 4	VANTAGE EQUITY FUND	Click here to go back
PAN (II)	AABTI4093C	AABTI4741B	AACTV5686P	Total
No. of fully paid up equity shares held (IV)	4835667	3356036	3700000	11891703
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4835667	3356036	3700000	11891703
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.61	1.12	1.24	3.97
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4835667	3356036	3700000	11891703
Class eg:y				
Total	4835667	3356036	3700000	11891703
Total as a % of Total Voting rights	1.62	1.12	1.24	3.99
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.61	1.12	1.24	3.97
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	4835667	3356036	3700000	11891703
Reason for not providing PAN				
Reason for not providing PAN				

Foreign Portfolio Investors

Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	AMERICAN FUNDS INSURANCE SERIES GLOBAL SMALL CAPIT	FIDELITY INVESTMENT TRUST - FIDELITY EMERGINGMARKE	SMALLCAP WORLD FUND, INC	WF ASIAN RECONNAISSANCE FUND LIMITED	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	EASTSPRING INVESTMENTS INDIA EQUITY OPEN LIMITED	MORGAN STANLEY ASIA (SINGAPORE) PTE. - ODI
PAN (II)	AAATA5708K	AAATF1590Q	AABCS3581L	AABCW5771E	AADCM8931A	AADCP4503H	AAECM3575F
No. of fully paid up equity shares held (IV)	3150000	6285600	14190655	14827600	5658000	3978210	5000000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3150000	6285600	14190655	14827600	5658000	3978210	5000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.05	2.1	4.74	4.95	1.89	1.33	1.67
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3150000	6285600	14190655	14827600	5658000	3978210	5000000
Class eg:y							
Total	3150000	6285600	14190655	14827600	5658000	3978210	5000000
Total as a % of Total Voting rights	1.06	2.11	4.76	4.97	1.9	1.33	1.68

No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.05	2.1	4.74	4.95	1.89	1.33	1.67
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3150000	6285600	14190655	14827600	5658000	3978210	5000000
Reason for not providing PAN							
Reason for not providing PAN							

Foreign Portfolio Investors

Searial No.	8	9	
Name of the Shareholders (I)	THE PABRAI INVESTMENT FUND IV, LP	AQUAMARINE MASTER FUND L.P	Click here to go back
PAN (II)	AAIFT9163B	ABJFA0708R	Total
No. of fully paid up equity shares held (IV)	5920145	4400000	63410210
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5920145	4400000	63410210
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.98	1.47	21.17
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	5920145	4400000	63410210
Class eg:y			
Total	5920145	4400000	63410210
Total as a % of Total Voting rights	1.98	1.47	21.26
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.98	1.47	21.17
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	5920145	4400000	63410210
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)				
Searial No.	1	2	3	
Category	Overseas Corporate Bodies	Overseas Corporate Bodies	Overseas Corporate Bodies	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Click here to go back
Name of the Shareholders (I)	WESTBRIDGE CROSSOVER FUND, LLC	INDIA BUSINESS EXCELLENCE FUND IIA	RIMCO (MAURITIUS) LIMITED	
PAN (II)	AABCW1259M	AACCI8300A	AAHCR2023A	Total
No. of the Shareholders (I)	1	1	1	0
No. of fully paid up equity shares held (IV)	14244273	7282432	13635750	0
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	14244273	7282432	13635750	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.76	2.43	4.55	0
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	14244273	7282432	13635750	0
Class eg:y				
Total	14244273	7282432	13635750	0
Total as a % of Total Voting rights	4.77	2.44	4.57	0
No. Of Shares Underlying Outstanding				

convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.76	2.43	4.55	0
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	14244273	7282432	13635750	0
Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Others	Trusts	Trusts	Non-Resident Indian (NRI)	Clearing Members	Others	Others
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)	BENEFICIAL HOLDINGS UNDER MGT-4		ILFS TRUST COMPANY LTD TRUSTEE OF BUSINESS EXCELLE			Qualified Institutional Buyer	SBI LIFE INSURANCE CO. LTD
PAN (II)			AABTB5671K				AAFCS2530P
No. of the Shareholders (I)	1	6	1	510	66	4	1
No. of fully paid up equity shares held (IV)	2536190	4113650	3918078	919887	188139	7936501	6147349
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2536190	4113650	3918078	919887	188139	7936501	6147349
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.85	1.37	1.31	0.31	0.06	2.65	2.05
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2536190	4113650	3918078	919887	188139	7936501	6147349
Class eg:y							
Total	2536190	4113650	3918078	919887	188139	7936501	6147349
Total as a % of	0.85	1.38	1.31	0.31	0.06	2.66	2.06

Total Voting rights							
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.85	1.37	1.31	0.31	0.06	2.65	2.05
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2536190	4113650	3918078	919887	188139	7936501	6147349
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)						
Searial No.	8	9	10	11	12	
Category	Others	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	NON RESIDENT INDIAN NON REPATRIABLE		RURAL ELECTRIFICATION CORPORATION LTD	DALMIA POWER LIMITED	TVS SHRIRAM GROWTH FUND 1B LLP	Click here to go back
PAN (II)			AAACR4512R	AAJCS2658E	AAKFT7981R	Total
No. of the Shareholders (I)	333	292	1	1	1	1212
No. of fully paid up equity shares held (IV)	389912	73686927	12271211	30003361	30003351	89771206
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	389912	73686927	12271211	30003361	30003351	89771206
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.13	24.6	4.1	10.02	10.02	29.97
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	389912	73686927	12271211	30003361	30003351	89771206
Class eg:y						
Total	389912	73686927	12271211	30003361	30003351	89771206
Total as a % of Total Voting rights	0.13	24.7	4.11	10.06	10.06	30.09

No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.13	24.6	4.1	10.02	10.02	29.97
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	389912	73686927	12271211	30003361	30003351	89771206
Reason for not providing PAN						
Reason for not providing PAN						

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence