

Format of Holding of Specified securities

1. Name of Listed Entity: **INDIAN ENERGY EXCHANGE LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **540750, IEX, EQUITY SHARES**
3. Share Holding Pattern Filed under: **Regulation 31(1)(a) (SHP As on October 20, 2017)**
 - a. if under 31(1)(b) then indicate the report for quarter ending
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : **The Listed entity is required to submit the following declaration to the extent of submission of information:**

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares	-	NO*
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	NO*
c	Whether the Listed Entity has any shares against which depository receipts are issued?	-	NO*
d	Whether the Listed Entity has any shares in locked-in?	YES*	-
e	Whether any shares held by promoters are pledge or otherwise encumbered?	-	NO*

5. The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding converttble securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights	Total as a % of (A+B+C)				No.	As a % of total Shares held	No.	As a % of total Shares held		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	Total	(X)	(XI)	(XII)	(XIII)	(XIV)			
Share Holding Pattern Filed under: Regulation 31(1)(a) (SHP As on October 20, 2017)																		
(A)	Promoter & Promoter Group	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(B)	Public	253281	30138742	0	0	30138742	99.37	30138742	0	30138742	99.37	0	99.37	19480506	64.64	NA	NA	30096352
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employes Trusts	1	189882	0	0	189882	0.63	189882	0	189882	0.63	0	0.63	159062	83.77	NA	NA	0
	Total:	253282	30328624	0	0	30328624	100.00	30328624	0	30328624	100.00	0	100.00	19639568	64.76	0	0.00	30096352

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
	Share Holding Pattern Filed under: Regulation 31(1)(a) (SHP As on October 20, 2017)																	
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(1)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total			No.	As a % of total Shares held	No.	As a % of total Shares held		
								No of Voting Rights										
	Share Holding Pattern Filed under: Regulation 31(1)(a) (SHP As on October 20, 2017)							Class X	Class Y	Total								
	(i)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)		(xiii)		(xiv)	
(1)	Institutions																	
(a)	Mutual Funds	41	1828285	0	0	1828285	6.03	1828285	0	1828285	6.03	0	6.03	607275	33.22	NA	NA	1828285
	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C	5	593020	0	0	593020	1.96	593020	0	593020	1.96	0	1.96	109098	18.40	NA	NA	593020
	ADITYA BIRLA SUN LIFE BALANCED 95 FUND																	
	SBI MAGNUM TAXGAIN SCHEME	4	482797	0	0	482797	1.59	482797	0	482797	1.59	0	1.59	109098	22.60	NA	NA	482797
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds	14	1516430	0	0	1516430	5.00	1516430	0	1516430	5.00	0	5.00	1516430	100.00	NA	NA	1516430
	IIFL SPECIAL OPPORTUNITIES FUND	3	491336	0	0	491336	1.62	491336	0	491336	1.62	0	1.62	491336	100.00	NA	NA	491336
	IIFL SPECIAL OPPORTUNITIES FUND - SERIES 2	3	308171	0	0	308171	1.02	308171	0	308171	1.02	0	1.02	308171	100.00	NA	NA	308171
	IIFL SPECIAL OPPORTUNITIES FUND - SERIES 4	3	349666	0	0	349666	1.15	349666	0	349666	1.15	0	1.15	349666	100.00	NA	NA	349666
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(f)	Financial Institutions/Banks	1	63885	0	0	63885	0.21	63885	0	63885	0.21	0	0.21	0	0.00	NA	NA	63885
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	FOREIGN CORPORATE BODIES	8	6946343	0	0	6946343	22.90	6946343	0	6946343	22.90	0	22.90	6946343	100.00	NA	NA	6946343
	WESTBRIDGE CROSSOVER FUND, LLC	1	1440609	0	0	1440609	4.75	1440609	0	1440609	4.75	0	4.75	1440609	100.00	NA	NA	1440609
	INDIA BUSINESS EXCELLENCE FUND - IIA	1	812500	0	0	812500	2.68	812500	0	812500	2.68	0	2.68	812500	100.00	NA	NA	812500
	LIGHTSPEED VENTURE PARTNERS VIII MAURITIUS	1	909859	0	0	909859	3.00	909859	0	909859	3.00	0	3.00	909859	100.00	NA	NA	909859
	RIMCO (MAURITIUS) LIMITED	1	1363575	0	0	1363575	4.50	1363575	0	1363575	4.50	0	4.50	1363575	100.00	NA	NA	1363575
	MADISON INDIA OPPORTUNITIES III	1	313372	0	0	313372	1.03	313372	0	313372	1.03	0	1.03	313372	100.00	NA	NA	313372
	AFHOLDINGS	1	701428	0	0	701428	2.31	701428	0	701428	2.31	0	2.31	701428	100.00	NA	NA	701428
	SG BRIC III TRADING LLC	1	488515	0	0	488515	1.61	488515	0	488515	1.61	0	1.61	488515	100.00	NA	NA	488515
	SIGULER GUFF NJDM INVESTMENT HOLDINGS LTD	1	916485	0	0	916485	3.02	916485	0	916485	3.02	0	3.02	916485	100.00	NA	NA	916485
	Sub Total (B)(1)	64	10354943	0	0	10354943	34.14	10354943	0	10354943	34.14	0	34.14	9070048	87.59	NA	NA	10354943
	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																	
(a)	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs	252525	2764701	0	0	2764701	9.12	2764701	0	2764701	9.12	0	9.12	209421	7.57	NA	NA	2722311
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	12	711195	0	0	711195	2.34	711195	0	711195	2.34	0	2.34	340961	47.94	NA	NA	711195
(b)	NBFCs Registered with RBI	2	317224	0	0	317224	1.05	317224	0	317224	1.05	0	1.05	0	0.00	NA	NA	317224
	INFINA FINANCE PRIVATE LTD	1	316324	0	0	316324	1.04	316324	0	316324	1.04	0	1.04	0	0.00	NA	NA	316324
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																	
	BODIES CORPORATES	45	14820437	0	0	14820437	48.87	14820437	0	14820437	48.87	0	48.87	9643626	65.07	NA	NA	14820437
	RURAL ELECTRIFICATION CORPORATION LTD	1	1250000	0	0	1250000	4.12	1250000	0	1250000	4.12	0	4.12	1250000	100.00	NA	NA	1250000
	ADITYA BIRLA PRIVATE EQUITY TRUST	1	558353	0	0	558353	1.84	558353	0	558353	1.84	0	1.84	0	0.00	NA	NA	558353
	MULTIPLES PRIVATE EQUITY FUND	1	652361	0	0	652361	2.15	652361	0	652361	2.15	0	2.15	0	0.00	NA	NA	652361
	SBI LIFE INSURANCE CO. LTD	1	878394	0	0	878394	2.90	878394	0	878394	2.90	0	2.90	473500	53.91	NA	NA	878394
	DCB POWER VENTURES LIMITED	1	3032863	0	0	3032863	10.00	3032863	0	3032863	10.00	0	10.00	3032863	100.00	NA	NA	3032863
	TVS SHRIRAM GROWTH FUND IB LLP	2	4549293	0	0	4549293	15.00	4549293	0	4549293	15.00	0	15.00	1516431	33.33	NA	NA	4549293
	AGRI POWER AND ENGINEERING SOLUTIONS PRIVATE LIMITED	1	1655557	0	0	1655557	5.46	1655557	0	1655557	5.46	0	5.46	1655557	100.00	NA	NA	1655557
	ADANI TRADEX LLP	1	1250000	0	0	1250000	4.12	1250000	0	1250000	4.12	0	4.12	1250000	100.00	NA	NA	1250000
	NON RESIDENT INDIANS	444	15696	0	0	15696	0.05	15696	0	15696	0.05	0	0.05	0	0.00	NA	NA	15696
	NON RESIDENT INDIAN NON REPATRIABLE	184	2493	0	0	2493	0.01	2493	0	2493	0.01	0	0.01	0	0.00	NA	NA	2493
	TRUSTS	5	1152053	0	0	1152053	3.80	1152053	0	1152053	3.80	0	3.80	216450	18.79	NA	NA	1152053
	IL AND FS TRUST COMPANY LTD (TRUSTEE OF BUSINESS EXCELLENCE TRUST II - INDIA BUSINESS EXCELLENCE FUND II)	1	437500	0	0	437500	1.44	437500	0	437500	1.44	0	1.44	0	0.00	NA	NA	437500
	ADITYA BIRLA PRIVATE EQUITY SUNRISE FUND	1	496691	0	0	496691	1.64	496691	0	496691	1.64	0	1.64	0	0.00	NA	NA	496691
	Sub Total (B)(3)	253217	19783799	0	0	19783799	65.23	19783799	0	19783799	65.23	0	65.23	10410458	52.62			19741409
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	253281	30138742	0	0	30138742	99.37	30138742	0	30138742	99.37	0	99.37	19480506	64.64			30096352

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								Class X	Class Y	Total			No.	As a % of total Shares held	No.	As a % of total Shares held			
								No of Voting Rights			Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
	Share Holding Pattern Filed under: Regulation 31(1)(a) (SHP As on October 20, 2017)																		
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)		
(1)	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0	
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)	1	189882	0	0	189882	0.63	189882	0	189882	0.63	0	0.63	159062	83.77	NA	NA	0	
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)	1	189882	0	0	189882	0.63	189882	0	189882	0.63	0	0.63	159062	83.77			0	