

General information about company

Scrip code	540750
NSE Symbol	IEX
MSEI Symbol	NOTLISTED
ISIN	INE022Q01020
Name of the company	INDIAN ENERGY EXCHANGE LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	71707	298382441			298382441	99.61	298382441		298382441	100
(C)	Non Promoter-Non Public	1	1174070			1174070		0		0	0
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	1174070			1174070	0.39	0		0	0
	Total	71708	299556511			299556511	100	298382441		298382441	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public				99.61					298182931
(C)	Non Promoter-Non Public									1174070
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts				0.39					1174070
	Total				100					299357001

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	13	44325381			44325381	14.8	44325381		44325381	14.86
(c)	Alternate Investment Funds	15	21828495			21828495	7.29	21828495		21828495	7.32
(e)	Foreign Portfolio Investors	107	93601333			93601333	31.25	93601333		93601333	31.37
(f)	Financial Institutions/ Banks	2	31846			31846	0.01	31846		31846	0.01
(i)	Any Other (specify)	8	34981257			34981257	11.68	34981257		34981257	11.72
Sub-Total (B) (1)		145	194768312			194768312	65.02	194768312		194768312	65.27
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal	70120	20573269			20573269	6.87	20573269		20573269	6.89

	share capital up to Rs. 2 lakhs.									
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	577781			577781	0.19	577781	577781	0.19
(e)	Any Other (specify)	1440	82463079			82463079	27.53	82463079	82463079	27.64
Sub-Total (B) (3)		71562	103614129			103614129	34.59	103614129	103614129	34.73
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		71707	298382441			298382441	99.61	298382441	298382441	100
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	1174070			1174070	0.39	0	0	0
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	1174070			1174070		0	0	0
Total (A+B+C2)		71708	299556511			299556511	100	298382441	298382441	100
Total (A+B+C)		71708	299556511			299556511	100	298382441	298382441	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				14.8					44325381
(c)				7.29					21828495
(e)				31.25					93601333
(f)				0.01					31846
(i)				11.68					34981257
Sub-Total (B) (1)				65.02					194768312
(3)	Non-institutions								
(a(i))				6.87					20373759
(a(ii))				0.19					577781
(e)				27.53					82463079
Sub-Total (B) (3)				34.59					103414619
Total Public				99.61					298182931

Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)									
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(2)				0.39					1174070
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+ (C)(2)									1174070
Total (A+B+C2)				100					299357001
Total (A+B+C)				100					299357001

Mutual Funds

Serial No.	1	2	3	
Name of the Shareholders (I)	RELIANCE CAPITAL TRUSTEE CO LTD-A/C NIPPON INDIA CAPITAL BUILDER FUND IV - SERIES C	UTI-MASTERSHARE UNIT SCHEME	MIRAE ASSET TAX SAVER FUND	Click here to go back
PAN (II)	AAATR0090B	AAATU1088L	AACTM0203B	Total
No. of fully paid up equity shares held (IV)	14783610	4372891	22279563	41436064
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	14783610	4372891	22279563	41436064
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.94	1.46	7.44	13.83
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	14783610	4372891	22279563	41436064
Class eg:y				
Total	14783610	4372891	22279563	41436064
Total as a % of Total Voting rights	4.95	1.47	7.47	13.89
No. Of Shares Underlying Outstanding convertible securities (X)				

No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.94	1.46	7.44	13.83
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	14783610	4372891	22279563	41436064
Reason for not providing PAN				
Reason for not providing PAN				

Alternate Investment Funds

Serial No.	1	2	3	
Name of the Shareholders (I)	IIFL SPECIAL OPPORTUNITIES FUND	IIFL SPECIAL OPPORTUNITIES FUND - SERIES 4	VANTAGE EQUITY FUND	Click here to go back
PAN (II)	AABTI4093C	AABTI4741B	AACTV5686P	Total
No. of fully paid up equity shares held (IV)	4835667	3356036	3979643	12171346
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4835667	3356036	3979643	12171346
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.61	1.12	1.33	4.06
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4835667	3356036	3979643	12171346
Class eg:y				
Total	4835667	3356036	3979643	12171346
Total as a % of Total Voting rights	1.62	1.12	1.33	4.08
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares				

Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.61	1.12	1.33	4.06
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	4835667	3356036	3979643	12171346
Reason for not providing PAN				
Reason for not providing PAN				

Foreign Portfolio Investors

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	AMERICAN FUNDS INSURANCE SERIES GLOBAL SMALL CAPITAL	FIDELITY INVESTMENT TRUST - FIDELITY EMERGINGMARKE	SMALLCAP WORLD FUND, INC	WF ASIAN RECONNAISSANCE FUND LIMITED	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	EASTSPRING INVESTMENTS INDIA EQUITY OPEN LIMITED	AQUAMARINE MASTER FUND L.P
PAN (II)	AAATA5708K	AAATF1590Q	AABCS3581L	AABCW5771E	AADCM8931A	AADCP4503H	ABJFA0708R
No. of fully paid up equity shares held (IV)	3150000	6285600	14190655	14827600	6158000	4491164	4400000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3150000	6285600	14190655	14827600	6158000	4491164	4400000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.05	2.1	4.74	4.95	2.06	1.5	1.47
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3150000	6285600	14190655	14827600	6158000	4491164	4400000
Class eg:y							
Total	3150000	6285600	14190655	14827600	6158000	4491164	4400000

Total as a % of Total Voting rights	1.06	2.11	4.76	4.97	2.06	1.51	1.47
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.05	2.1	4.74	4.95	2.06	1.5	1.47
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3150000	6285600	14190655	14827600	6158000	4491164	4400000
Reason for not providing PAN							

Reason for not providing PAN							
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Foreign Portfolio Investors

Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	53503019
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	53503019
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	17.86
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	53503019
Class eg:y	
Total	53503019
Total as a % of Total Voting rights	17.93
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares	

Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	17.86
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	53503019
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	Other	Other	Other	Overseas Corporate Bodies	Overseas Corporate Bodies	Overseas Corporate Bodies
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	Qualified Institutional Buyer	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	SBI LIFE INSURANCE CO. LTD		INDIA BUSINESS EXCELLENCE FUND IIA	RIMCO (MAURITIUS) LIMITED
PAN (II)		AAACI7351P	AAFCS2530P		AACCI8300A	AAHCR2023A
No. of the Shareholders (I)	6	1	1	2	1	1
No. of fully paid up equity shares held (IV)	14063075	5407588	6147349	20918182	7282432	13635750
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	14063075	5407588	6147349	20918182	7282432	13635750
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.69	1.81	2.05	6.98	2.43	4.55
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	14063075	5407588	6147349	20918182	7282432	13635750
Class eg:y						

Total	14063075	5407588	6147349	20918182	7282432	13635750
Total as a % of Total Voting rights	4.71	1.81	2.06	7.01	2.44	4.57
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.69	1.81	2.05	6.98	2.43	4.55
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	14063075	5407588	6147349	20918182	7282432	13635750
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)

Serial No.	
Category	
Category / More than 1 percentage	Click here to go back
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	8
No. of fully paid up equity shares held (IV)	34981257
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	34981257
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.67
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	34981257
Class eg:y	
Total	34981257
Total as a % of Total Voting rights	11.72

No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	11.67
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	34981257
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)

Serial No.	1	2	3	4	5	6	7
Category	Others	Trusts	Trusts	Non-Resident Indian (NRI)	Clearing Members	Others	Bodies Corporate
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	Category	Category	Category	Category
Name of the Shareholders (I)	BENEFICIAL HOLDINGS UNDER MGT-4		ILFS TRUST COMPANY LTD TRUSTEE OF BUSINESS EXCELLE			NON RESIDENT INDIAN NON REPATRIABLE	
PAN (II)			AABTB5671K				
No. of the Shareholders (I)	1	3	1	606	100	397	333
No. of fully paid up equity shares held (IV)	2536190	3929200	3918078	1041368	404386	473463	74078472
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2536190	3929200	3918078	1041368	404386	473463	74078472
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.85	1.31	1.31	0.35	0.13	0.16	24.73
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2536190	3929200	3918078	1041368	404386	473463	74078472
Class eg:y							

Total	2536190	3929200	3918078	1041368	404386	473463	74078472
Total as a % of Total Voting rights	0.85	1.32	1.31	0.35	0.14	0.16	24.83
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.85	1.31	1.31	0.35	0.13	0.16	24.73
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2536190	3929200	3918078	1041368	404386	473463	74078472
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)

Serial No.	8	9	10	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	RURAL ELECTRIFICATION CORPORATION LTD	DALMIA POWER LIMITED	TVS SHRIRAM GROWTH FUND 1B LLP	Click here to go back
PAN (II)	AAACR4512R	AAJCS2658E	AAKFT7981R	Total
No. of the Shareholders (I)	1	1	1	1440
No. of fully paid up equity shares held (IV)	12271211	30003361	30003351	82463079
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12271211	30003361	30003351	82463079
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.1	10.02	10.02	27.53
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	12271211	30003361	30003351	82463079
Class eg:y				
Total	12271211	30003361	30003351	82463079
Total as a % of Total Voting rights	4.11	10.06	10.06	27.65

No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.1	10.02	10.02	27.53
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	12271211	30003361	30003351	82463079
Reason for not providing PAN				
Reason for not providing PAN				

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence