

General information about company

Scrip code	540750
NSE Symbol	IEX
MSEI Symbol	NOTLISTED
ISIN	INE022Q01020
Name of the company	INDIAN ENERGY EXCHANGE LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	79885	298235491			298235491	99.56	298235491		298235491	99.56
(C)	Non Promoter-Non Public	1	1321020			1321020		1321020		1321020	0.44
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	1321020			1321020	0.44	1321020		1321020	0.44
	Total	79886	299556511			299556511	100	299556511		299556511	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public				99.56					297885981
(C)	Non Promoter-Non Public									1321020
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts				0.44					1321020
	Total				100					299207001

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	8	21328766			21328766	7.12	21328766		21328766	7.12
(c)	Alternate Investment Funds	13	21064406			21064406	7.03	21064406		21064406	7.03
(e)	Foreign Portfolio Investors	74	79309191			79309191	26.48	79309191		79309191	26.48
(f)	Financial Institutions/ Banks	3	25466			25466	0.01	25466		25466	0.01
(i)	Any Other (specify)	4	45175342			45175342	15.08	45175342		45175342	15.08
Sub-Total (B) (1)		102	166903171			166903171	55.72	166903171		166903171	55.72
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding	77116	20395329			20395329	6.81	20395329		20395329	6.81

	nominal share capital up to Rs. 2 lakhs.									
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	4	1049560			1049560	0.35	1049560	1049560	0.35
(b)	NBFCs registered with RBI	1	314025			314025	0.1	314025	314025	0.1
(e)	Any Other (specify)	2662	109573406			109573406	36.58	109573406	109573406	36.58
Sub-Total (B) (3)		79783	131332320			131332320	43.84	131332320	131332320	43.84
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		79885	298235491			298235491	99.56	298235491	298235491	99.56
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	1321020			1321020	0.44	1321020	1321020	0.44
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	1321020			1321020		1321020	1321020	0.44
Total (A+B+C2)		79886	299556511			299556511	100	299556511	299556511	100
Total (A+B+C)		79886	299556511			299556511	100	299556511	299556511	100

Sub-Total (B) (3)				43.84					130982810
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				99.56					297885981
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(2)				0.44					1321020
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+ (C)(2)									1321020
Total (A+B+C2)				100					299207001
Total (A+B+C)				100					299207001

Mutual Funds

Serial No.	1	2	
Name of the Shareholders (I)	UTI-MASTERSHARE UNIT SCHEME	MIRAE ASSET TAX SAVER FUND	Click here to go back
PAN (II)	AAATU1088L	AACTM0203B	Total
No. of fully paid up equity shares held (IV)	4028764	16297566	20326330
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4028764	16297566	20326330
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.34	5.44	6.79
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	4028764	16297566	20326330
Class eg:y			
Total	4028764	16297566	20326330
Total as a % of Total Voting rights	1.34	5.44	6.79
No. Of Shares Underlying Outstanding convertible securities (X)			

No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.34	5.44	6.79
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	4028764	16297566	20326330
Reason for not providing PAN			
Reason for not providing PAN			

Alternate Investment Funds				
Serial No.	1	2	3	
Name of the Shareholders (I)	IIFL SPECIAL OPPORTUNITIES FUND	IIFL SPECIAL OPPORTUNITIES FUND - SERIES 4	VANTAGE EQUITY FUND	Click here to go back
PAN (II)	AABTI4093C	AABTI4741B	AACTV5686P	Total
No. of fully paid up equity shares held (IV)	4835667	3356036	3700000	11891703
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4835667	3356036	3700000	11891703
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.61	1.12	1.24	3.97
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4835667	3356036	3700000	11891703
Class eg:y				
Total	4835667	3356036	3700000	11891703
Total as a % of Total Voting rights	1.61	1.12	1.24	3.97
No. Of Shares Underlying Outstanding convertible securities (X)				

No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.61	1.12	1.24	3.97
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	4835667	3356036	3700000	11891703
Reason for not providing PAN				
Reason for not providing PAN				

Foreign Portfolio Investors							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	AMERICAN FUNDS INSURANCE SERIES GLOBAL SMALL CAPIT	SMALLCAP WORLD FUND, INC	MATTHEWS INDIA FUND	EASTSPRING INVESTMENTS INDIA EQUITY OPEN LIMITED	PABRAI INVESTMENT FUND 3, LTD	THE PABRAI INVESTMENT FUND IV, LP	AMANSA HOLDINGS PRIVATE LIMITED
PAN (II)	AAATA5708K	AABCS3581L	AABTM6157F	AADCP4503H	AAHCP5673Q	AAIFT9163B	AAKCA7237L
No. of fully paid up equity shares held (IV)	3150000	14190655	3497308	3761007	4261560	4098532	11318353
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3150000	14190655	3497308	3761007	4261560	4098532	11318353
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.05	4.74	1.17	1.26	1.42	1.37	3.78
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3150000	14190655	3497308	3761007	4261560	4098532	11318353
Class eg:y							
Total	3150000	14190655	3497308	3761007	4261560	4098532	11318353
Total as a % of Total Voting	1.05	4.74	1.17	1.26	1.42	1.37	3.78

rights							
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.05	4.74	1.17	1.26	1.42	1.37	3.78
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3150000	14190655	3497308	3761007	4261560	4098532	11318353
Reason for not providing PAN							
Reason for not providing PAN							

Foreign Portfolio Investors

Serial No.	8	
Name of the Shareholders (I)	AQUAMARINE MASTER FUND L.P	Click here to go back
PAN (II)	ABJFA0708R	Total
No. of fully paid up equity shares held (IV)	4400000	48677415
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4400000	48677415
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.47	16.25
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4400000	48677415
Class eg:y		
Total	4400000	48677415
Total as a % of Total Voting rights	1.47	16.25
No. Of Shares Underlying Outstanding convertible securities (X)		

No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.47	16.25
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4400000	48677415
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)					
Searial No.	1	2	3	4	
Category	Overseas Corporate Bodies	Overseas Corporate Bodies	Overseas Corporate Bodies	Overseas Corporate Bodies	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Click here to go back
Name of the Shareholders (I)	WESTBRIDGE CROSSOVER FUND, LLC	INDIA BUSINESS EXCELLENCE FUND IIA	LIGHTSPEED VENTURE PARTNERS VIII MAURITIUS	RIMCO (MAURITIUS) LIMITED	
PAN (II)	AABCW1259M	AACCI8300A	AACCL4820G	AAHCR2023A	Total
No. of the Shareholders (I)	1	1	1	1	0
No. of fully paid up equity shares held (IV)	14316002	8125000	9098590	13635750	0
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	14316002	8125000	9098590	13635750	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.78	2.71	3.04	4.55	0
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	14316002	8125000	9098590	13635750	0
Class eg:y					

Total	14316002	8125000	9098590	13635750	0
Total as a % of Total Voting rights	4.78	2.71	3.04	4.55	0
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.78	2.71	3.04	4.55	0
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	14316002	8125000	9098590	13635750	0
Reason for not providing PAN					
Reason for not providing PAN					

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Others	Trusts	Trusts	Non-Resident Indian (NRI)	Clearing Members	Others	Bodies Corporate
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)	BENEFICIAL HOLDINGS UNDER MGT-4		IL AND FS TRUST COMPANY LTD (TRUSTEE OF BUSINESS E			Qualified Institutional Buyers	SBI LIFE INSURANCE CO. LTD
PAN (II)			AABTB5671K				AAFCS2530P
No. of the Shareholders (I)	1	9	1	1538	68	4	1
No. of fully paid up equity shares held (IV)	2536190	4516193	4375000	1636911	322104	8364892	6247118
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2536190	4516193	4375000	1636911	322104	8364892	6247118
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.85	1.51	1.46	0.55	0.11	2.79	2.09
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2536190	4516193	4375000	1636911	322104	8364892	6247118

Class eg:y							
Total	2536190	4516193	4375000	1636911	322104	8364892	6247118
Total as a % of Total Voting rights	0.85	1.51	1.46	0.55	0.11	2.79	2.09
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.85	1.51	1.46	0.55	0.11	2.79	2.09
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2536190	4516193	4375000	1636911	322104	8364892	6247118
Reason for not providing PAN							
Reason for not							

providing PAN								
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Any Other (specify)						
Searial No.	8	9	10	11	12	
Category	Others	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	NON RESIDENT INDIAN NON REPATRIABLE		RURAL ELECTRIFICATION CORPORATION LTD	DALMIA POWER LIMITED	TVS SHRIRAM GROWTH FUND 1B LLP	Click here to go back
PAN (II)			AAACR4512R	AAJCS2658E	AAKFT7981R	Total
No. of the Shareholders (I)	625	417	1	1	1	2662
No. of fully paid up equity shares held (IV)	527314	91669802	12271211	30003361	45000742	109573406
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	527314	91669802	12271211	30003361	45000742	109573406
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.18	30.6	4.1	10.02	15.02	36.59
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	527314	91669802	12271211	30003361	45000742	109573406
Class eg:y						

Total	527314	91669802	12271211	30003361	45000742	109573406
Total as a % of Total Voting rights	0.18	30.6	4.1	10.02	15.02	36.59
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.18	30.6	4.1	10.02	15.02	36.59
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	527314	91669802	12271211	30003361	45000742	109573406
Reason for not providing PAN						
Reason for not providing PAN						

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence