

General information about company	
Scrip code	540750
NSE Symbol	IEX
MSEI Symbol	NOTLISTED
ISIN	INE022Q01020
Name of the company	INDIAN ENERGY EXCHANGE LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	95834	298382441			298382441	99.61	298382441		298382441	99.61
(C)	Non Promoter-Non Public	1	1174070			1174070		1174070		1174070	0.39
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	1174070			1174070	0.39	1174070		1174070	0.39
	Total	95835	299556511			299556511	100	299556511		299556511	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public				99.61					298182931
(C)	Non Promoter-Non Public									1174070
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts				0.39					1174070
	Total				100					299357001

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	12	54574573			54574573	18.22	54574573		54574573	18.22
(c)	Alternate Investment Funds	15	16351828			16351828	5.46	16351828		16351828	5.46
(e)	Foreign Portfolio Investors	109	90546354			90546354	30.23	90546354		90546354	30.23
(f)	Financial Institutions/ Banks	2	8390			8390	0	8390		8390	0
(i)	Any Other (specify)	8	34089874			34089874	11.38	34089874		34089874	11.38
Sub-Total (B) (1)		146	195571019			195571019	65.29	195571019		195571019	65.29
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding	93434	27602346			27602346	9.21	27602346		27602346	9.21

	nominal share capital up to Rs. 2 lakhs.									
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	577781			577781	0.19	577781	577781	0.19
(e)	Any Other (specify)	2252	74631295			74631295	24.91	74631295	74631295	24.91
Sub-Total (B) (3)		95688	102811422			102811422	34.32	102811422	102811422	34.32
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		95834	298382441			298382441	99.61	298382441	298382441	99.61
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	1174070			1174070	0.39	1174070	1174070	0.39
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	1174070			1174070		1174070	1174070	0.39
Total (A+B+C)		95835	299556511			299556511	100	299556511	299556511	100
Total (A+B+C)		95835	299556511			299556511	100	299556511	299556511	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				18.22					54574573
(c)				5.46					16351828
(e)				30.23					90546354
(f)				0					8390
(i)				11.38					34089874
Sub-Total (B) (1)				65.29					195571019
(3)	Non-institutions								
(a(i))				9.21					27402836
(a(ii))				0.19					577781
(e)				24.91					74631295
Sub-Total (B) (3)				34.32					102611912

Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				99.61					298182931
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(2)				0.39					1174070
Total NonPromoter-Non Public Shareholding (C)= (C)(1)+ (C)(2)									1174070
Total (A+B+C2)				100					299357001
Total (A+B+C)				100					299357001

Mutual Funds					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	RELIANCE CAPITAL TRUSTEE CO LTD-A/C NIPPON INDIA CAPITAL BUILDER FUND IV - SERIES C	UTI-MASTERSHARE UNIT SCHEME	MIRAE ASSET TAX SAVER FUND	PPFAS MUTUAL FUND - PARAG PARIKH LONG TERM EQUITY FUND	Click here to go back
PAN (II)	AAATR0090B	AAATU1088L	AACTM0203B	AACTP2540E	Total
No. of fully paid up equity shares held (IV)	15678331	4372891	21464055	9116834	50632111
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	15678331	4372891	21464055	9116834	50632111
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.23	1.46	7.17	3.04	16.9
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	15678331	4372891	21464055	9116834	50632111
Class eg:y					
Total	15678331	4372891	21464055	9116834	50632111
Total as a % of Total Voting rights	5.23	1.46	7.17	3.04	16.9
No. Of Shares Underlying Outstanding					

convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.23	1.46	7.17	3.04	16.9
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	15678331	4372891	21464055	9116834	50632111
Reason for not providing PAN					
Reason for not providing PAN					

Alternate Investment Funds

Serial No.	1	
Name of the Shareholders (I)	VANTAGE EQUITY FUND	Click here to go back
PAN (II)	AACTV5686P	Total
No. of fully paid up equity shares held (IV)	4642000	4642000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4642000	4642000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.55	1.55
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4642000	4642000
Class eg:y		
Total	4642000	4642000
Total as a % of Total Voting rights	1.55	1.55
No. Of Shares Underlying Outstanding convertible securities (X)		

No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.55	1.55
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4642000	4642000
Reason for not providing PAN		
Reason for not providing PAN		

Foreign Portfolio Investors

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	AMERICAN FUNDS INSURANCE SERIES GLOBAL SMALL CAPIT	FIDELITY INVESTMENT TRUST - FIDELITY EMERGINGMARKE	KUWAIT INVESTMENT AUTHORITY FUND 227	SMALLCAP WORLD FUND, INC	WF ASIAN RECONNAISSANCE FUND LIMITED	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	EASTSPRING INVESTMENTS INDIA EQUITY OPEN LIMITED
PAN (II)	AAATA5708K	AAATF1590Q	AAATK3858Q	AABCS3581L	AABCW5771E	AADCM8931A	AADCP4503H
No. of fully paid up equity shares held (IV)	3150000	6285600	3051625	14190655	14827600	6158000	3869099
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3150000	6285600	3051625	14190655	14827600	6158000	3869099
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.05	2.1	1.02	4.74	4.95	2.06	1.29
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3150000	6285600	3051625	14190655	14827600	6158000	3869099
Class eg:y							

Total	3150000	6285600	3051625	14190655	14827600	6158000	3869099
Total as a % of Total Voting rights	1.05	2.1	1.02	4.74	4.95	2.06	1.29
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.05	2.1	1.02	4.74	4.95	2.06	1.29
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3150000	6285600	3051625	14190655	14827600	6158000	3869099

Reason for not providing PAN							
Reason for not providing PAN							

Foreign Portfolio Investors

Serial No.	8	9	
Name of the Shareholders (I)	BAILLIE GIFFORD PACIFIC FUND A SUB FUND OF BAILLIE	AQUAMARINE MASTER FUND L.P	Click here to go back
PAN (II)	AADCR4909B	ABJFA0708R	Total
No. of fully paid up equity shares held (IV)	3159948	4400000	59092527
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3159948	4400000	59092527
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.05	1.47	19.73
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	3159948	4400000	59092527
Class eg:y			
Total	3159948	4400000	59092527
Total as a % of Total Voting rights	1.05	1.47	19.73
No. Of Shares Underlying Outstanding convertible securities (X)			

No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.05	1.47	19.73
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	3159948	4400000	59092527
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)						
Searial No.	1	2	3	4	5	6
Category	Other	Other	Other	Overseas Corporate Bodies	Overseas Corporate Bodies	Overseas Corporate Bodies
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	Qualified Institutional Buyer	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	SBI LIFE INSURANCE CO. LTD		INDIA BUSINESS EXCELLENCE FUND IIA	RIMCO (MAURITIUS) LIMITED
PAN (II)		AAACI7351P	AAFCS2530P		AACCI8300A	AAHCR2023A
No. of the Shareholders (I)	6	1	1	2	1	1
No. of fully paid up equity shares held (IV)	14633480	8097981	6147349	19456394	5820644	13635750
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	14633480	8097981	6147349	19456394	5820644	13635750
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.89	2.7	2.05	6.5	1.94	4.55
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	14633480	8097981	6147349	19456394	5820644	13635750

Class eg:y						
Total	14633480	8097981	6147349	19456394	5820644	13635750
Total as a % of Total Voting rights	4.89	2.7	2.05	6.5	1.94	4.55
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.89	2.7	2.05	6.5	1.94	4.55
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	14633480	8097981	6147349	19456394	5820644	13635750
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	Click here to go back
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	8
No. of fully paid up equity shares held (IV)	34089874
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	34089874
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.39
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	34089874
Class eg:y	
Total	34089874
Total as a % of	11.39

Total Voting rights	
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	11.39
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	34089874
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Others	Trusts	Trusts	Non-Resident Indian (NRI)	Clearing Members	Others	Bodies Corporate
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	Category	Category	Category	Category
Name of the Shareholders (I)	BENEFICIAL HOLDINGS UNDER MGT-4		VISTRA ITCL (INDIA) LTD TRUSTEE OF BUSINESS EXCELL			NON RESIDENT INDIAN NON REPATRIABLE	
PAN (II)			AABTB5671K				
No. of the Shareholders (I)	1	5	1	961	329	533	423
No. of fully paid up equity shares held (IV)	2536190	3148113	3129774	1416864	1972257	643000	64914871
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2536190	3148113	3129774	1416864	1972257	643000	64914871
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.85	1.05	1.04	0.47	0.66	0.21	21.67
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2536190	3148113	3129774	1416864	1972257	643000	64914871

Class eg:y							
Total	2536190	3148113	3129774	1416864	1972257	643000	64914871
Total as a % of Total Voting rights	0.85	1.05	1.04	0.47	0.66	0.21	21.67
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.85	1.05	1.04	0.47	0.66	0.21	21.67
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2536190	3148113	3129774	1416864	1972257	643000	64914871
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)				
Serial No.	8	9	10	
Category	Bodies Corporate	Bodies Corporate	LLP	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	DALMIA CEMENT (BHARAT) LIMITED	DALMIA POWER LIMITED	DPVL VENTURES LLP	Click here to go back
PAN (II)	AADCA9414C	AAJCS2658E	AAKFT7981R	Total
No. of the Shareholders (I)	1	1	1	2252
No. of fully paid up equity shares held (IV)	12399844	24503361	23003351	74631295
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12399844	24503361	23003351	74631295
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.14	8.18	7.68	24.91
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	12399844	24503361	23003351	74631295
Class eg:y				
Total	12399844	24503361	23003351	74631295
Total as a % of	4.14	8.18	7.68	24.91

Total Voting rights				
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.14	8.18	7.68	24.91
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	12399844	24503361	23003351	74631295
Reason for not providing PAN				
Reason for not providing PAN				

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence