

General information about company

Scrip code	540750
NSE Symbol	IEX
MSEI Symbol	NOTLISTED
ISIN	INE022Q01020
Name of the company	INDIAN ENERGY EXCHANGE LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	73275	298189291			298189291	99.54	298189291		298189291	99.54
(C)	Non Promoter-Non Public	1	1367220			1367220		1367220		1367220	0.46
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	1367220			1367220	0.46	1367220		1367220	0.46
	Total	73276	299556511			299556511	100	299556511		299556511	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public				99.54					297839781
(C)	Non Promoter-Non Public									1367220
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts				0.46					1367220
	Total				100					299207001

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	9	28392674			28392674	9.48	28392674		28392674	9.48
(c)	Alternate Investment Funds	10	20311301			20311301	6.78	20311301		20311301	6.78
(e)	Foreign Portfolio Investors	58	58611540			58611540	19.57	58611540		58611540	19.57
(f)	Financial Institutions/ Banks	3	16813			16813	0.01	16813		16813	0.01
(i)	Any Other (specify)	5	52189622			52189622	17.42	52189622		52189622	17.42
Sub-Total (B) (1)		85	159521950			159521950	53.25	159521950		159521950	53.25

(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	72281	14985943			14985943	5	14985943		14985943	5
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	5	1589199			1589199	0.53	1589199		1589199	0.53
(b)	NBFCs registered with RBI	2	308			308	0	308		308	0
(e)	Any Other (specify)	902	122091891			122091891	40.76	122091891		122091891	40.76
Sub-Total (B) (3)		73190	138667341			138667341	46.29	138667341		138667341	46.29
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)		73275	298189291			298189291	99.54	298189291		298189291	99.54
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	1367220			1367220	0.46	1367220		1367220	0.46
Total		1	1367220			1367220		1367220		1367220	0.46

NonPromoter- Non Public Shareholding (C)= (C)(1)+ (C)(2)											
Total (A+B+C2)		73276	299556511			299556511	100	299556511		299556511	100
Total (A+B+C)		73276	299556511			299556511	100	299556511		299556511	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				9.48					28392674
(c)				6.78					20311301
(e)				19.57					58611540
(f)				0.01					16813
(i)				17.42					52189622
Sub-Total (B) (1)				53.25					159521950
(3)	Non-institutions								
(a(i))				5					14636433
(a(ii))				0.53					1589199

(b)				0					308
(e)				40.76					122091891
Sub-Total (B) (3)				46.29					138317831
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				99.54					297839781
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(2)				0.46					1367220
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+ (C)(2)									1367220
Total (A+B+C2)				100					299207001
Total (A+B+C)				100					299207001

Mutual Funds

Serial No.	1	2	3	
Name of the Shareholders (I)	UTI-MASTERSHARE UNIT SCHEME	SBI MAGNUM MULTICAP FUND	MIRAE ASSET TAX SAVER FUND	Click here to go back
PAN (II)	AAATU1088L	AABTS6407Q	AACTM0203B	Total
No. of fully paid up equity shares held (IV)	4028764	8389908	12115728	24534400
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4028764	8389908	12115728	24534400
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.34	2.8	4.04	8.19
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4028764	8389908	12115728	24534400
Class eg:y				
Total	4028764	8389908	12115728	24534400
Total as a % of Total Voting rights	1.34	2.8	4.04	8.19

No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.34	2.8	4.04	8.19
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	4028764	8389908	12115728	24534400
Reason for not providing PAN				
Reason for not providing PAN				

Alternate Investment Funds				
Serial No.	1	2	3	
Name of the Shareholders (I)	IIFL SPECIAL OPPORTUNITIES FUND	IIFL SPECIAL OPPORTUNITIES FUND - SERIES 4	VANTAGE EQUITY FUND	Click here to go back
PAN (II)	AABTI4093C	AABTI4741B	AACTV5686P	Total
No. of fully paid up equity shares held (IV)	4835667	3356036	3409773	11601476
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4835667	3356036	3409773	11601476
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.61	1.12	1.14	3.87
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4835667	3356036	3409773	11601476
Class eg:y				
Total	4835667	3356036	3409773	11601476
Total as a % of Total Voting rights	1.61	1.12	1.14	3.87

No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.61	1.12	1.14	3.87
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	4835667	3356036	3409773	11601476
Reason for not providing PAN				
Reason for not providing PAN				

Foreign Portfolio Investors

Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	AMERICAN FUNDS INSURANCE SERIES GLOBAL SMALL CAPIT	SMALLCAP WORLD FUND, INC	MATTHEWS INDIA FUND	EASTSPRING INVESTMENTS INDIA EQUITY OPEN LIMITED	PABRAI INVESTMENT FUND 3, LTD	AQUAMARINE MASTER FUND L.P
PAN (II)	AAATA5708K	AABCS3581L	AABTM6157F	AADCP4503H	AAHCP5673Q	ABJFA0708R
No. of fully paid up equity shares held (IV)	3150000	14190655	3497308	3761007	4261560	4400000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3150000	14190655	3497308	3761007	4261560	4400000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.05	4.74	1.17	1.26	1.42	1.47
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	3150000	14190655	3497308	3761007	4261560	4400000
Class eg:y						
Total	3150000	14190655	3497308	3761007	4261560	4400000
Total as a % of	1.05	4.74	1.17	1.26	1.42	1.47

Total Voting rights						
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.05	4.74	1.17	1.26	1.42	1.47
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	3150000	14190655	3497308	3761007	4261560	4400000
Reason for not providing PAN						
Reason for not						

providing PAN

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Foreign Portfolio Investors

Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	33260530
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	33260530
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.1
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	33260530
Class eg:y	
Total	33260530
Total as a % of Total Voting rights	11.1
No. Of Shares	

Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	11.1
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	33260530
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)						
Serial No.	1	2	3	4	5	
Category	Overseas Corporate Bodies	Overseas Corporate Bodies	Overseas Corporate Bodies	Overseas Corporate Bodies	Overseas Corporate Bodies	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Click here to go back
Name of the Shareholders (I)	WESTBRIDGE CROSSOVER FUND, LLC	INDIA BUSINESS EXCELLENCE FUND IIA	LIGHTSPEED VENTURE PARTNERS VIII MAURITIUS	RIMCO (MAURITIUS) LIMITED	AFHOLDINGS	
PAN (II)	AABCW1259M	AACCI8300A	AACCL4820G	AAHCR2023A	AAMCA9149H	Total
No. of the Shareholders (I)	1	1	1	1	1	0
No. of fully paid up equity shares held (IV)	14316002	8125000	9098590	13635750	7014280	0
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	14316002	8125000	9098590	13635750	7014280	0
Shareholding as a % of total no. of shares (calculated as per SCRR,	4.78	2.71	3.04	4.55	2.34	0

1957) (VIII) As a % of (A+B+C2)						
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	14316002	8125000	9098590	13635750	7014280	0
Class eg:y						
Total	14316002	8125000	9098590	13635750	7014280	0
Total as a % of Total Voting rights	4.78	2.71	3.04	4.55	2.34	0
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.78	2.71	3.04	4.55	2.34	0
Number of Locked in shares (XII)						
No. (a)						
As a % of total						

Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	14316002	8125000	9098590	13635750	7014280	0
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Others	Trusts	Trusts	Non-Resident Indian (NRI)	Clearing Members	Others	Bodies Corporate
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	Category	Category	Category	Category
Name of the Shareholders (I)	BENEFICIAL HOLDINGS UNDER MGT-4		IL AND FS TRUST COMPANY LTD (TRUSTEE OF BUSINESS E			NON RESIDENT INDIAN NON REPATRIABLE	
PAN (II)			AABTB5671K				
No. of the Shareholders (I)	1	6	1	412	34	186	263
No. of fully paid up equity shares held (IV)	2536190	4818375	4375000	632308	12638	134447	113957933
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2536190	4818375	4375000	632308	12638	134447	113957933
Shareholding as a % of total no. of shares (calculated as per SCRR,	0.85	1.61	1.46	0.21	0	0.04	38.04

1957) (VIII) As a % of (A+B+C2)							
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2536190	4818375	4375000	632308	12638	134447	113957933
Class eg:y							
Total	2536190	4818375	4375000	632308	12638	134447	113957933
Total as a % of Total Voting rights	0.85	1.61	1.46	0.21	0	0.04	38.04
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.85	1.61	1.46	0.21	0	0.04	38.04
Number of Locked in shares (XII)							
No. (a)							

As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2536190	4818375	4375000	632308	12638	134447	113957933
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)

Serial No.	8	9	10	11	12	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	RURAL ELECTRIFICATION CORPORATION LTD	SBI LIFE INSURANCE CO. LTD	DALMIA POWER LIMITED	TVS SHRIRAM GROWTH FUND 1B LLP	AGRI POWER AND ENGINEERING SOLUTIONS PRIVATE LIMITED	Click here to go back
PAN (II)	AAACR4512R	AAFCS2530P	AAJCS2658E	AAKFT7981R	AAMCA0059L	Total
No. of the Shareholders (I)	1	1	1	1	1	902
No. of fully paid up equity shares held (IV)	12271211	6689161	30003361	45000742	14967395	122091891
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12271211	6689161	30003361	45000742	14967395	122091891
Shareholding as a % of total no. of shares (calculated as per SCRR,	4.1	2.23	10.02	15.02	5	40.75

1957) (VIII) As a % of (A+B+C2)						
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	12271211	6689161	30003361	45000742	14967395	122091891
Class eg:y						
Total	12271211	6689161	30003361	45000742	14967395	122091891
Total as a % of Total Voting rights	4.1	2.23	10.02	15.02	5	40.75
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.1	2.23	10.02	15.02	5	40.75
Number of Locked in shares (XII)						
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	12271211	6689161	30003361	45000742	14967395	122091891
Reason for not providing PAN						
Reason for not providing PAN						

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence